

Review

# B3LYP and B3PW Simulations of ABO<sub>3</sub> Perovskite (001) Surfaces, Interfaces, and Oxygen Vacancies Therein

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## Abstract

The results of most B3LYP and B3PW simulations, performed during the last quarter of century, dealing with ABO perovskite (001) surfaces, heterostructures, and oxygen vacancies therein, were reviewed. According to carried out B3LYP and B3PW simulations, almost all upper-layer atoms on the BO<sub>2</sub>- and AO-terminated STO, BTO, PTO, CTO, SZO, BZO, PZO, and CZO perovskite (001) surfaces shift inwards. Practically all ABO perovskite second-layer atoms shift upwards. Finally, nearly all third-layer atoms, once more, shift inwards. The ABO perovskite (001) surface energies, for both BO<sub>2</sub> and AO terminations, are comparable. Computer simulations on the ABO perovskites indicate a significant rise of the B-O chemical bond covalency nearby the BO<sub>2</sub>-terminated (001) surfaces in comparison to their bulk. B3LYP- and B3PW-simulated ABO perovskite bulk  $\Gamma$ - $\Gamma$  band gaps are decreased nearby their BO<sub>2</sub>- and AO-terminated (001) surfaces. We discuss recent B3PW simulations for the STO/BTO, STO/PTO, and SZO/PZO (001) heterostructures. Simulated optical band gaps of the STO/BTO, STO/PTO, and SZO/PZO (001) heterostructures mainly depend on the BO<sub>2</sub>- or AO-terminations of the upper layer of the augmented film. The displacement magnitudes of the nearest neighbor atoms, around the (001) surface oxygen vacancy, in the ABO perovskites, usually, are larger than in their bulk. In the STO, BTO, PTO, and SZO perovskites, the electronic charge, ordinarily, is a lot better localized inside the bulk than the (001) surface oxygen vacancy. In the STO, BTO, PTO, and SZO perovskites, the (001) surface oxygen vacancy-induced defect levels are located closer to the conduction band bottom than in the bulk cases. Simulated formation energy difference between the bulk and the (001) surface oxygen vacancies in the STO, BTO, PTO, and SZO perovskites triggers the oxygen vacancy segregation from the bulk towards the (001) surface. All computer simulations for ABO perovskites were performed in their high-symmetry cubic phase.

**Keywords:** ABO<sub>3</sub> perovskites; (001) surfaces; surface energies; B3PW; STO/BTO (001) interface; STO/PTO (001) heterostructure; oxygen vacancy;  $\Gamma$ - $\Gamma$  band gap



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## 1. Introduction

Surface and interface aspects going on in the ABO<sub>3</sub>-type perovskite oxide materials are vitally important questions in present-day solid state physics [1–23]. The entire amount of SrTiO<sub>3</sub> (STO), BaTiO<sub>3</sub> (BTO), PbTiO<sub>3</sub> (PTO), CaTiO<sub>3</sub> (CTO), SrZrO<sub>3</sub> (SZO), BaZrO<sub>3</sub> (BZO), PbZrO<sub>3</sub> (PZO), and CaZrO<sub>3</sub> (CZO) materials corresponds to the association of ABO<sub>3</sub> perovskite oxides [24–27]. For our purposes, A = Sr, Ba, Pb or Ca, while B = Ti or Zr [28]. ABO<sub>3</sub>

perovskites have a lot of technologically important applications [29].  $ABO_3$  perovskite's technologically important applications involve, for example, photocatalysis, electrocatalysis, fuel cells as well as many others [30]. For instance, STO can provide numerous new opportunities in photocatalytic applications [31]. The BTO-based capacitor is studied as one of the competitors for pulsed power systems because of its high permittivity [32]. The ferroelectric PTO displays extraordinary spontaneous polarization as well as photoelectric qualities, situating it as an encouraging polar photocatalyst [33]. CTO is known to be a significant photocatalyst for splitting water into oxygen and hydrogen [34]. SZO perovskite is of major importance for applications as the high-temperature proton conductor [35]. SZO also displays resistance switching [36,37], ferroelectric ordering [38,39], as well as powerful luminescence [40]. The  $ABO_3$  perovskite, BZO, may be applicable as the refractory material as well as the high-temperature proton conductor [41]. PZO (001) films are encouraging electrical energy storage systems owing to their very significant energy storage density [42]. CZO is a good contender for applications in mechanical filters as well as coatings [43,44]. CZO also possesses numerous electrical implementations, including capacitors and resonators [43,44]. Due to this industrial importance, the  $ABO_3$  perovskite (001) surface terminations have been broadly examined during the last half century [45–84].

As temperature lowers, all eight  $ABO_3$  perovskite oxides exhibit various structural phase transitions [85]. Namely, at room temperature (RT), BZO [86] and STO [87] perovskites have cubic structures [86,87]. At RT, CTO [88], SZO [89], PZO [90], and CZO [91] perovskites possess orthorhombic structures [88–91]. At the same time, PTO at RT exhibits a tetragonal structure [92]. BTO at RT undergoes the tetragonal to orthorhombic phase transition [93].

Generated by the stormy progress of arising technologies, the  $ABO_3$  (001) surfaces were investigated worldwide experimentally during the last half century. For instance, the BTO (001) surface was experimentally explored by photoelectron spectroscopy, as well as LEED (low-energy electron diffraction) and Auger electron spectroscopy [72]. Electronic states and the structure of the BTO (001) surface were examined using scanning tunneling microscopy (STM) and spectroscopy [94]. Barret et al. [59] employed LEEM to investigate the development of the surface shape of BTO (001) in the course of the ferroelectric to paraelectric phase transformation at RT [59]. Berlich et al. [95] examined the BTO (001) surface by different electron spectroscopic methods. MIE and UP spectra supply direct proof of a BaO-terminated BTO (001) surface [95].

On the theory side, the first ab initio computations in the world of BTO (001) surfaces were performed by Padilla et al. in 1997 [96]. They performed ab initio computations of (001) surfaces of BTO in the cubic and tetragonal phases [96]. Using a semi-empirical shell model, Heifets et al. in 1997 [97] computed the surface relaxation of the BTO (001) surface. The first computation in the world for the STO (001) surface was performed by Kimura et al. in 1995 [98] by means of the pseudopotential method. Using the semi-empirical Hartree–Fock (HF) method, Goniakowski et al. [99] in 1996 studied the STO (001) surface. In 1998, Heifets et al. [100] investigated the polarization effect of the STO (001) surface. They computed the relaxation of ions in several upper STO (001) surface layers [100]. Cheng et al. [101] performed ab initio computations for SrO- and  $TiO_2$ -terminated STO (001) surface geometry relaxation, and surface energy. Heifets et al. [50] in 2000 employed a semi-empirical shell approach in order to compute the (001) surface relaxation of BTO and STO perovskites. Heifets et al. [45] in 2001 computed the STO (001) surface relaxation as well as rumpling for two terminations ( $TiO_2$  and SrO). Computations were performed employing the ab initio HF method and different DFT-based methods using various exchange-correlation functionals [45]. Erdman et al. [47,102] in 2002 investigated the structure and chemistry of the  $TiO_2$ -terminated STO (001) surface. They used a combination of ab initio DFT methods

and experimental HREM microscopy [47,102]. Johnston et al. [103] in 2004 investigated the  $(1 \times 1)$  and  $(2 \times 1)$  reconstructions of the STO (001) surface by means of the FP-LMTO method [103]. STO (001) surface energies have been computed [103], and  $(1 \times 1)$  surfaces were predicted to be energetically stable for different considered conditions [103]. Piskunov et al. [104] in 2005 carried out comparative ab initio computations for AO- and  $\text{BO}_2$ -terminated STO, BTO, and PTO (001) surfaces' atomic and electronic structure [104]. Wang et al. [105] in 2006 performed first ab initio computations for cubic CTO (001) surfaces with  $\text{TiO}_2$  and CaO terminations [105]. Eglitis and Vanderbilt [1] in 2007 carried out ab initio computations for surface relaxations and rumplings of the BTO and PTO perovskite (001) surfaces by means of the hybrid B3PW exchange–correlation functional [1]. In 2007 Eglitis [106] performed ab initio computations for BZO (001) surface atomic relaxation, rumpling, and surface energy for two terminations ( $\text{ZrO}_2$  and BaO) employing the B3PW functional [106]. In 2008 Eglitis and Vanderbilt [2,3] carried out ab initio computations for STO and CTO perovskite (001) surface atomic relaxations, rumplings, and surface energies [2,3]. Kotomin et al. [107] in 2008 performed ab initio computations for PZO (001) surfaces with both  $\text{ZrO}_2$  and PbO terminations [107]. According to thermodynamical analysis of PZO (001) surfaces [107], the  $\text{ZrO}_2$ -terminated (001) surface is energetically more stable than the PbO-terminated surface [107]. Wang et al. [108] in 2009 computed the  $\text{TiO}_2$  and AO-terminated ATO perovskite (001) surfaces. They computed [108] the surface atomic relaxation and surface grand potential, as well as surface and cleavage energies. Eglitis and Rohlfing [109] in 2010 accomplished ab initio computations for PZO and SZO (001) surface atomic relaxations and rumplings, as well as optical band gaps and charge distribution [109]. Dionot et al. [110] in 2014 employing ab initio computations, explored the BTO (001) surface polarization, domain ordering, and rumpling. Shanavas et al. [111] in 2016, employing ab initio computations, investigated the origin of the Rashba spin-splitting effect [111] in the 2D electron gas on the STO (001) surface. Slassi et al. [112] in 2017 carried out ab initio computations dealing with relaxations of surface atoms, electronic structure, and surface energies of  $\text{SnO}_2$ - and BaO-terminated BSO (001) surfaces [112]. Saghayezhian et al. [113], in 2019, by means of ab initio calculations, demonstrated that the Ti-O chemical bonds are more covalent near the STO (001) surface than in the STO bulk [113]. Costa-Amaral et al. [48] in 2020 performed ab initio computations dealing with the adsorption of 3D transition metals on the  $\text{TiO}_2$ - and BaO-terminated BTO perovskite (001) surfaces [48]. Guedes et al. [114] conjoined the ARPES spectroscopy with DFT computations and examined the surface electronic structure of bent STO (001) wafers [114]. Zhou et al. [115] in 2023 performed ab initio computations for BTO (001) surface piezocatalytic properties [115]. Finally, Azevedo et al. [116] in 2024 explored the outcome of Ag doping on the STO (001) surface structure [116].

Schafranek et al. [117] in 2011 investigated the formation of the interface between PTO and STO perovskites by means of in situ photoelectron spectroscopy [117]. Wu et al. [118] in 2012 investigated the STO/BTO interfaces for promising applications for electronic devices [118]. Shah et al. [119] in 2008 performed ab initio computations for the geometrical, ferroelectric, and chemical properties of very complex three-component STO/BTO/PTO perovskite heterostructures [119]. Gao et al. [120] in 2013 performed ab initio computations dealing with the ferroelectric behavior of three-component ultrathin films of CTO/BTO/STO embedded between electrodes [120]. Jiang et al. [121] in 2015 explored 2D electric dipole sheets in the BTO/BZO heterostructure [121]. The authors used first principles-based Monte Carlo computations as well as DFT simulations [121]. Piskunov and Eglitis [76,122], in 2015, by means of B3PW computations, demonstrated that the Gamma–Gamma band gap of the BTO/STO (001) heterostructure mostly depends on  $\text{TiO}_2$ - or BaO-termination of the upper layer [76,122]. Piskunov et al. [64,123]

in 2016 computed the electronic and atomic structure of PZO/SZO and BTO/STO (001) heterostructures [64,123]. The Gamma–Gamma band gap of PZO/SZO and BTO/STO (001) heterostructures mostly depends on the upper-layer AO or BO<sub>2</sub> termination [64,123]. Eglitis et al. [46,124–126] in 2016 computed at an ab initio level the PTO/STO (001) interfaces. Our computed [46,124–126] PTO/STO (001) interface band gaps are in all cases smaller than the respective PTO and STO bulk band gaps. In a study performed by Shoron et al. [127] in 2017, BTO films have been deposited on doped STO channels. The BTO thin films, in spite of their small thickness, are ferroelectric [127]. Cao et al. [128] in 2018, using ab initio DFT computations and experimental STM investigations, reported a room temperature, very complex 2D BTO/STO/LTO heterostructure [128]. Bonini et al. [129] in 2019, using the first principles-based bulk layer approach, predicted the polarization and superlattice structure for PTO/BTO, BTO/STO, and PTO/STO heterostructures [129]. Jia et al. [130] in 2020 investigated the atomic as well as electronic structure of 2D freestanding BTO/STO interfaces [130]. Piyanzina et al. [131] in 2021 performed ab initio computations dealing with the atomic as well as electronic structure of the BTO/STO heterostructure and explored the influence of ferroelectric polarization on it. Chen et al. [132] in 2022 explored asymmetric LMO/BTO/STO heterostructures constructed onto a silicon platform [132]. Yang et al. [133], in 2023, by means of the phase field approach, demonstrated that the vortex structure arises in the BTO/STO heterostructure [133]. Finally, in 2024, Gómez-Ortiz et al. [134] performed MD (molecular dynamics) computations of PTO/STO heterostructures to explore the extraordinary properties of this transformation [134].

Eglitis et al. [135] in 1997 carried out combined ab initio LMTO and semi-empirical INDO (intermediate neglect of differential overlap) computations of oxygen vacancy with two trapped electrons in KNO perovskite [135]. Park et al. [136] in 1998 computed the relaxation of atoms surrounding the oxygen vacancy in PTO perovskite [136]. A blue photoluminescence band in STO was measured at RT by Zhang et al. [137] in 1999. It was detected [137] that the oxygen vacancies in STO are responsible for this experimentally measured visible emission band. Donnerberg et al. [138] in 2000 carried out ab initio HF and DFT computations for neutral oxygen vacancies in BTO perovskite. According to their results, the isolated oxygen vacancies create deep electronic levels in the band gap of BTO perovskite [138]. Astala et al. [139] in 2001 carried out ab initio computations for oxygen vacancies in the STO matrix. The authors [139] performed the atomic relaxation around the oxygen vacancy defect in STO. They also computed the relevant electron densities and Mulliken atomic charges [139]. Stashans et al. [140] in 2002 studied the F-centers and oxygen vacancies on the polar STO (011) surface. Using the semi-empirical INDO theoretical studies, the relaxation of atoms around the F-centers and oxygen vacancies was computed [140]. Muller et al. [141] in 2004 outline the oxygen vacancy concentrations in STO on an atomic scale, making use of STEM and XPS. The absolute detection sensitivity of one to four oxygen vacancies in the STO matrix was demonstrated [141]. Carrasco et al. [142] in 2006 computed the relaxation of atoms as well as the electronic structure around the F-center in the STO matrix. The formation energy and the energy barriers for F-center defect migration in STO were computed [142]. Lee et al. [143] in 2007 computed the oxygen vacancy formation energy in BTO perovskite and found it to be larger than in the STO matrix [143]. Alexandrov et al. [144] in 2009, by means of the B3PW functional, computed the atomic and electronic characteristics of oxygen vacancies in the STO bulk and on its (001) surfaces. The oxygen vacancies in STO perovskite induce more shallow defect energy levels in the band gap of its (001) surfaces than in the STO bulk [144]. Choi et al. [145] in 2011 computed the atomic as well as electronic structure of oxygen vacancy in BTO perovskite by means of a hybrid HF and DFT exchange–correlation functional. El-Mellouhi et al. [146] in 2013 performed ab initio computations of oxygen vacancies in

STO. The oxygen vacancies induced in the STO perovskite create a microscopic tetragonal elongation of the lattice in the  $z$  direction [146]. According to Chen et al. [147], oxygen vacancies in BTO crystal may improve its electrocatalytic activity [147]. Clabel et al. [148] in 2017 demonstrated that the luminescence spectra in BTO may be explained via the recombination of the self-trapped excitons. They induced localized states inside the BTO-forbidden band gaps, created by oxygen vacancies and impurities [148]. According to Al-Zubi et al. [149], the conductive properties of the STO crystal are considerably affected by the presence of oxygen vacancies. Rusevich et al. [150] in 2021 computed the atomic as well as electronic structure of oxygen vacancies in STO perovskite by means of ab initio calculations. The ab initio computed oxygen vacancies in the STO perovskite are mostly located on their (001) surfaces [150]. Yang et al. [151] in 2023 demonstrated that in STO perovskite, oxygen vacancies play a significant function in photoactivation processes [151]. Finally, Wang et al. [152] in 2025 examined how the layer of oxygen vacancies affects the BTO perovskite atomic structure and its electronic properties.

The goal of this review paper was to summarize the quarter-of-a-century-long study dealing with ABO perovskites. The work reported here deals mostly with B3LYP and B3PW simulations of ABO perovskite (001) surfaces, heterostructures, and oxygen vacancies. As a starting point, we compiled results dealing with AO- and BO<sub>2</sub>-terminated ABO perovskite (001) surfaces. The next part of paper is devoted to STO/BTO, STO/PTO and SZO/PZO (001) heterostructures. The final part of the review deals with B3LYP and B3PW simulations of oxygen vacancies in ABO perovskite bulk and on their (001) surfaces.

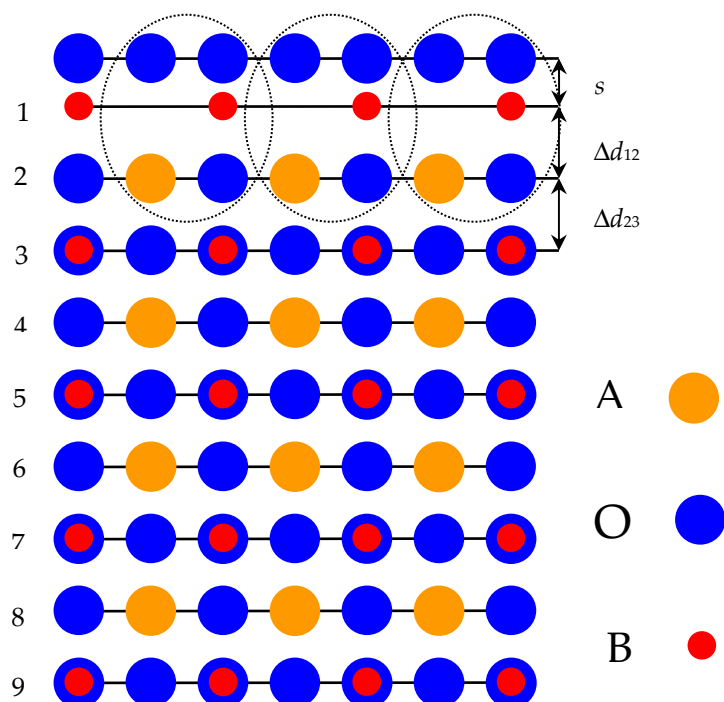
## 2. Computational Methods

### 2.1. ABO Perovskite (001) Surface Structure and Energies

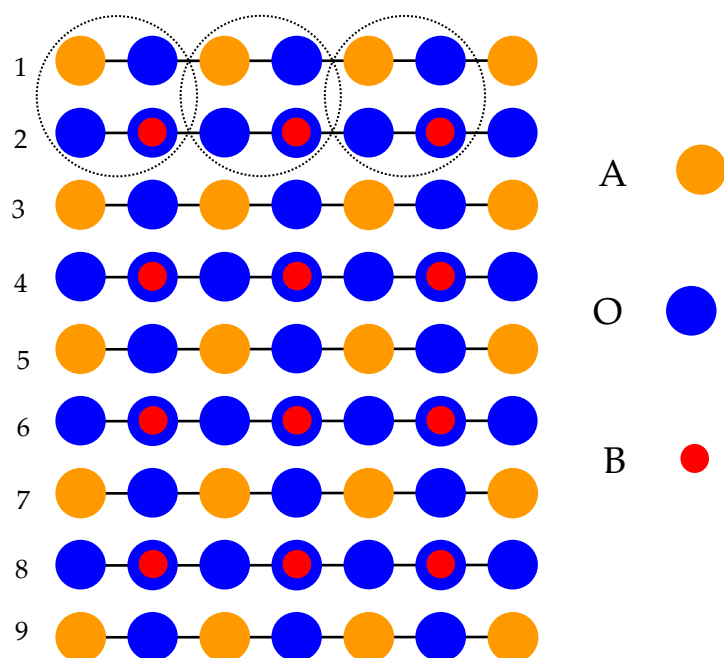
The B3LYP and B3PW simulations for eight ABO perovskite (001) surfaces were implemented making use of the CRYSTAL software system [153]. Mainly, the hybrid exchange–correlation functionals B3LYP [154] and B3PW were used [155,156]. Additional simulations were carried out using the complementary ab initio Hartree–Fock (HF) approach [157]. Moreover, ab initio computations for ABO perovskite bulk  $\Gamma$ – $\Gamma$  band gaps were in addition executed using the Generalized Gradient approximation [158] developed by Perdew and Wang (GGA-PW) [155,156]. It is noteworthy to mention that the HF method [157] considerably overestimates the experimental band gaps of different complex materials [1–3,159,160]. At the same time, DFT-based methods [161,162], for example, GGA-PW [155,156], significantly underestimate the band gaps of complex materials [1–3,159,160]. It is self-evident that B3LYP or B3PW hybrid exchange–correlation functionals, which make use of 20% of the HF approach and 80% of the DFT Hamiltonian, allow to obtain admirable results for the band gaps of complex materials. Primarily for this reason, the B3LYP and B3PW functionals were utilized in most of ensuing simulations. The pivotal role of the CRYSTAL software package is its utilization of the 2D isolated slab pattern [153]. The reciprocal space integration was executed using the  $8 \times 8 \times 8$  times expanded Pack–Monkhorst [163] mesh for ABO perovskite bulk and  $8 \times 8 \times 1$  times extended  $k$ -point net for their (001) surfaces.

For BO<sub>2</sub>-terminated ABO perovskite (001) surface (Figure 1) B3LYP and B3PW simulations, the symmetrical slabs [1–3,64,79,106] were utilized. They are made up of 9 mixing BO<sub>2</sub> and AO layers perpendicular to the  $z$ -axis [1–3,64,79]. The 9-layer slab, employed in ABO (001) surface simulations, from the top and bottom, ended with BO<sub>2</sub> planes (Figure 1). It consisted of a supercell holding 23 atoms (Figure 1). The slab was non-stoichiometric with unit cell formula A<sub>4</sub>B<sub>5</sub>O<sub>14</sub> [1–3,45]. The second 9-layer ABO perovskite (001) slab was demarcated from both sides with AO layers (Figure 2). It consisted of 9 alternating AO and BO<sub>2</sub> layers (Figure 2) and housed 22 atoms. This slab was non-stoichiometric with the unit cell formula A<sub>5</sub>B<sub>4</sub>O<sub>13</sub> (Figure 2). The classical Mulliken population investigation for both

the chemical bonding and covalency effects in the ABO perovskite bulk and on their (001) surfaces [78,164,165] was utilized.



**Figure 1.** Outline of the 9-layer  $\text{BO}_2$ -terminated ABO perovskite (001) surface [79].



**Figure 2.** Outline of the 9-layer AO-terminated ABO perovskite (001) surface [79].

To begin with ABO perovskite (001) surface energy simulations, the AO- and  $\text{BO}_2$ -terminated (001) surface cleavage energy [1–3,45,76] were computed. In performed ABO perovskite cleavage energy simulations, the 9-layer AO- and  $\text{BO}_2$ -terminated (001) slabs housed 22 and 23 atoms, respectively [1–3,45,79]. Thereby, these two slabs together represented 9 ABO perovskite bulk unit cells, which in summary housed 45 atoms [1–3,64,79,106]:

$$E_{\text{surf}}^{\text{unr}}(\text{AO} + \text{BO}_2) = \frac{1}{4} [E_{\text{slab}}^{\text{unr}}(\text{AO}) + E_{\text{slab}}^{\text{unr}}(\text{BO}_2) - 9E_{\text{bulk}}], \quad (1)$$

where (AO + BO<sub>2</sub>) means that the ABO perovskite cleavage energy is equal for both AO and BO<sub>2</sub> terminations [1–3,45,79].  $E_{\text{slab}}^{\text{unr}}(\text{AO})$  and  $E_{\text{slab}}^{\text{unr}}(\text{BO}_2)$  are the unrelaxed AO- or BO<sub>2</sub>-terminated 9-layer-containing ABO perovskite (001) slab's total energies.  $E_{\text{bulk}}$  is the ABO perovskite 5-atom-containing unit cell's total energy [1–3,76,77].

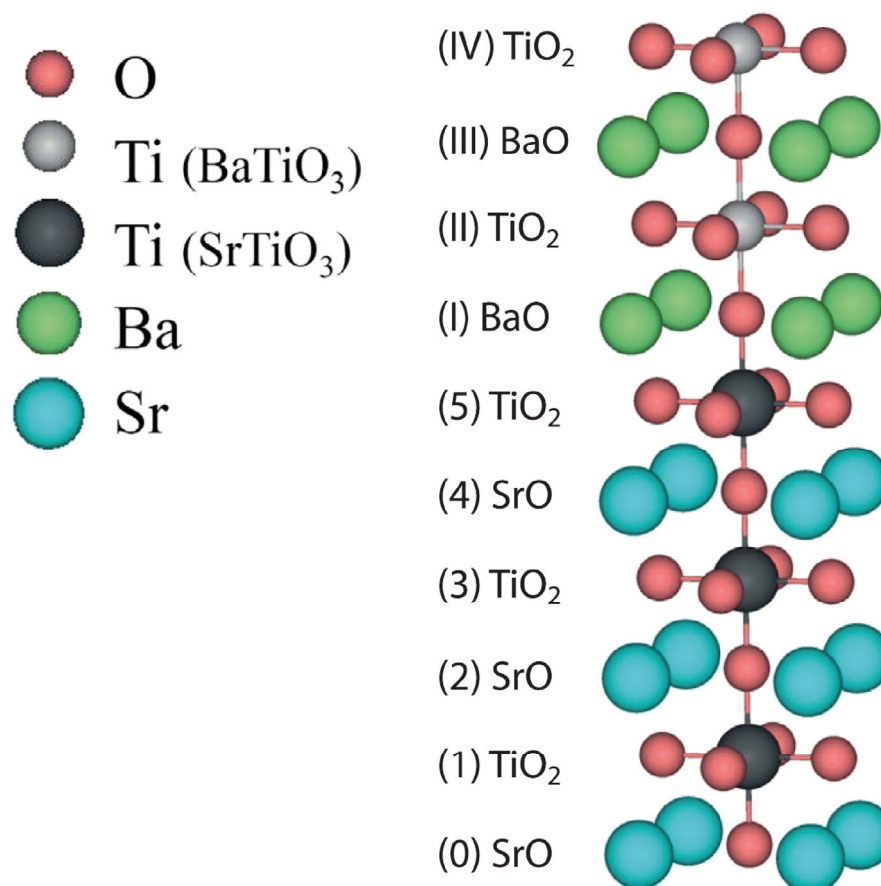
$$E_{\text{rel}}(\lambda) = \frac{1}{2} [E_{\text{slab}}^{\text{rel}}(\lambda) - E_{\text{slab}}^{\text{unr}}(\lambda)], \quad (2)$$

where  $\lambda$  denotes AO or BO<sub>2</sub>.  $E_{\text{slab}}^{\text{rel}}(\lambda)$  and  $E_{\text{slab}}^{\text{unr}}(\lambda)$  are the 9-layer slab's total energies after and without the structure relaxation, respectively [1–3,76,77]. The ABO perovskite (001) surface energy may be simulated using the following formula:

$$E_{\text{surf}}(\lambda) = E_{\text{surf}}^{\text{unr}}(\text{AO} + \text{BO}_2) + E_{\text{rel}}(\lambda). \quad (3)$$

## 2.2. B3LYP- and B3PW-Simulation Details for the STO/BTO, STO/PTO, and SZO/PZO (001) Interfaces

To our next point, we will discuss the simulation details and atomic structure of BTO/STO (001) heterostructure (Figure 3) [122–126]. Simulation details and the atomic structure of related STO/PTO and SZO/PZO (001) interfaces are almost identical [122–126].



**Figure 3.** Sketch of the (001) interface between BTO and STO perovskites [125,126].

Both BTO and STO perovskites were simulated employing their high-symmetry  $Pm\bar{3}m$  cubic structure (Figure 3) [1–3,64,79]. In B3PW simulations, the STO perovskite (001) substrate consists of 11 periodic SrO and TiO<sub>2</sub> monolayers (Figure 3) [122–126]. Between 1 and 10 periodic BaO and TiO<sub>2</sub> monolayers were augmented on both sides of the 11-layer STO (001) substrate (Figure 3). Thereby, B3PW-simulated BTO/STO (001) heterostructure consisted of 31 monolayers (Figure 3). Originating from symmetry restrictions, the atomic

shifts in the BTO/STO (001) interface are allowed only alongside the  $z$ -axis (Figure 3). In B3PW computations of displacement  $\Delta z$ , the shift of the previous monolayer (Figure 3) was taken into account. The reference coordinate  $z$  for each monolayer  $N$  was simulated using the following equation:

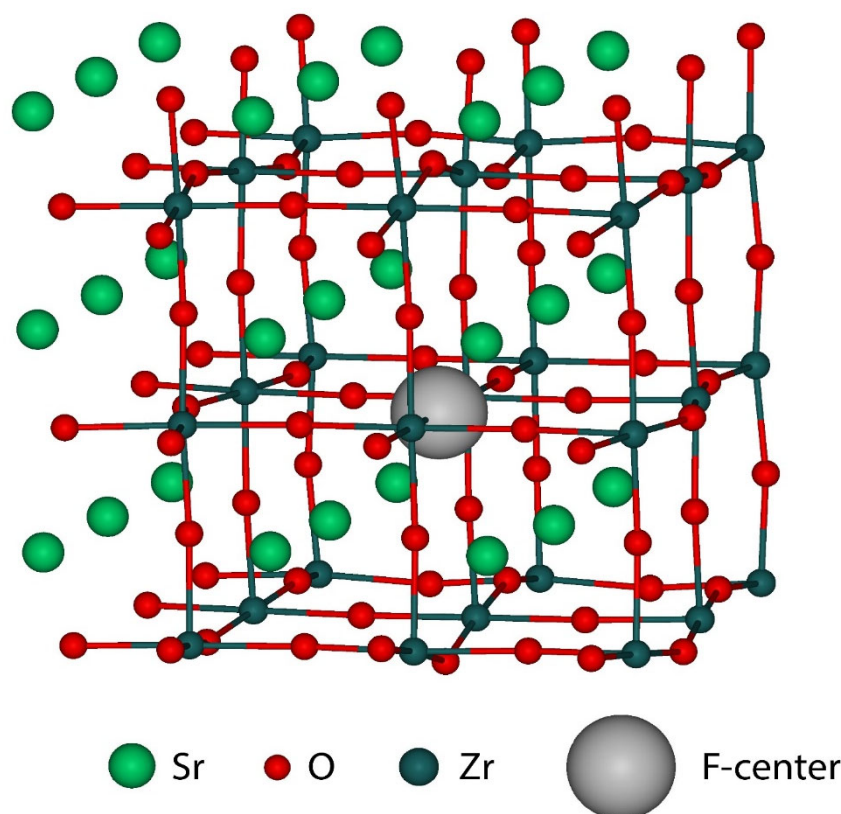
$$z_N^{\text{ref}} = \frac{1}{2} [z_{N-1}^{\text{Me}} + z_{N-1}^{\text{O}}] \quad (4)$$

In Equation (4)  $z_{N-1}^{\text{Me}}$  and  $z_{N-1}^{\text{O}}$  describe the  $z$  coordinates for a cation and an anion located in the previous monolayer.

### 2.3. B3LYP- and B3PW-Simulation Details for the Bulk and (001) Surface F-Centers in SZO

In B3PW simulations using CRYSTAL code, all 3 SZO perovskite atoms ought to have localized basis sets (BSs). Namely, for Sr and O atoms, the BSs from Ref. [166] were used. For the Zr atom the BS from the CRYSTAL software home page [153] was used. The bulk  $F$ -center B3PW simulations in the SZO matrix were carried out using a  $3 \times 3 \times 3$  times expanded supercell (Figure 4). Consequently, the B3PW simulations for a system containing 134 SZO bulk atoms as well as the single  $F$ -center (Figure 4) were performed.

For the  $F$ -center simulations located on the  $\text{ZrO}_2$ -terminated SZO (001) surface, a 2D 11-layer-containing slab model [1–3,167] was utilized. A modified conjugate gradient algorithm [168,169] to find an energy minimum for the potential energy surface around the (001) surface  $F$  center was employed. The  $F$ -center situated on the  $\text{ZrO}_2$ -terminated SZO (001) surface employing a  $3 \times 3 \times 1$  times expanded surface supercell (Figure 5) [170] was simulated. With the goal to compute the surface  $F$ -center, the one of the O atoms located on the  $\text{ZrO}_2$ -terminated SZO (001) surface (Figure 5) [170] were removed. In this way, in the B3PW simulations the surface  $F$ -center concentration on the  $\text{ZrO}_2$ -terminated SZO (001) surface is equal to  $1/18$ , or 5.56% (Figure 5) [170].



**Figure 4.** Sketch of the  $3 \times 3 \times 3$  times extended SZO bulk supercell containing a single  $F$ -center [170].

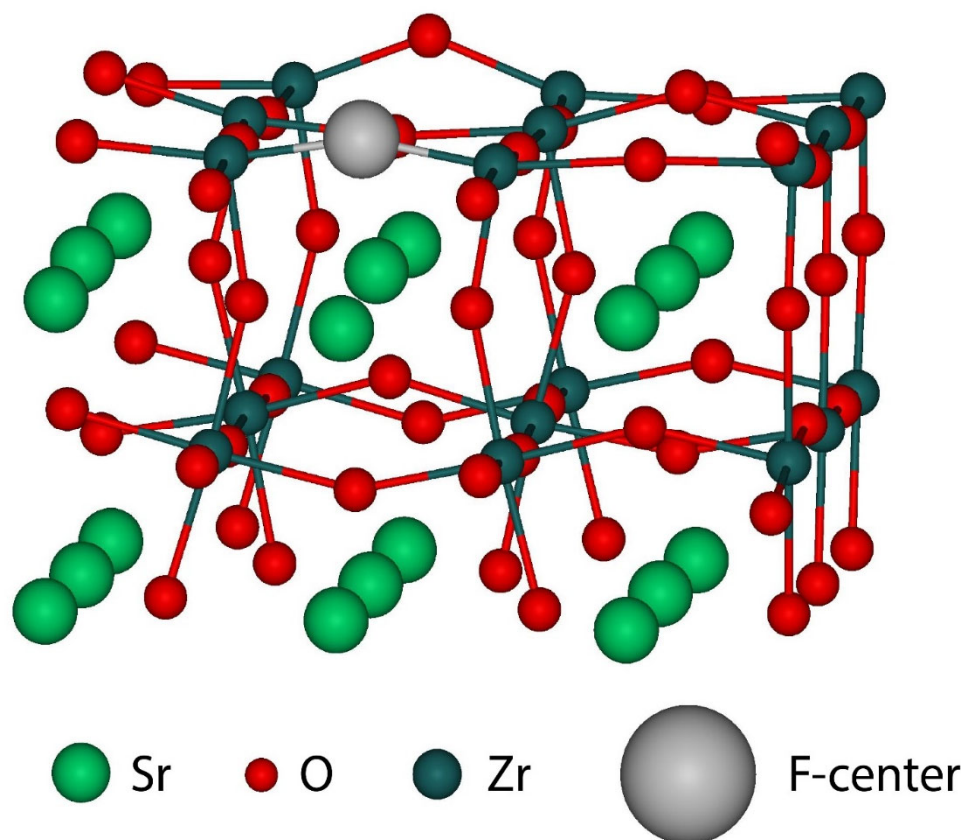


Figure 5. Sketch of the  $\text{ZrO}_2$ -terminated SZO (001) surface holding the surface  $F$ -center [170].

### 3. B3LYP- and B3PW-Simulation Results for ABO Perovskite Bulk and Their (001) Surfaces

#### 3.1. B3LYP- and B3PW-Simulation Results for ABO Perovskite Bulk

To begin with, during last quarter of century, using B3LYP and B3PW hybrid exchange–correlation functionals, the eight ABO perovskite bulk lattice constants (Table 1) [1–3,57,79,106,109,166,171] were simulated. B3LYP and B3PW simulated ABO perovskite bulk lattice constants [1–3,57,79,106,109,166,171] were compared with obtainable experimental data (Table 1) [172–177]. From Table 1 it follows that in most cases the B3LYP-simulated ABO perovskite bulk lattice constants are larger than the B3PW-simulated ones (Table 1) [1–3,57,79,106,109,166,171].

**Table 1.** B3LYP-, B3PW-, HF-, and GGA-PW-simulated ABO perovskite bulk lattice constants (in Å) [1–3,57,79,106,109,166,171]. Experimental measurement data [172–177] are listed for comparison.

ABO Perovskite	Method	Theory	Experiment
STO	B3LYP	3.94 [166]	3.89 [172]
	B3PW	3.904 [2]	
BTO	B3LYP	4.04 [166]	4.00 [172]
	B3PW	4.008 [1]	
PTO	B3LYP	3.96 [166]	3.97 [173]
	B3PW	3.936 [1]	

Table 1. Cont.

ABO Perovskite	Method	Theory	Experiment
CTO	B3LYP	3.851 [57]	3.8967 [174]
	B3PW	3.851 [3]	
	GGA-PW	3.884 [79]	
	HF	3.863 [79]	
SZO	B3LYP	4.195 [109]	4.154 [175]
	B3PW	4.155 [79]	
	GGA-PW	4.176 [79]	
	HF	4.182 [79]	
BZO	B3LYP	4.234 [109]	4.199 [176]
	B3PW	4.234 [106]	
	GGA-PW	4.240 [79]	
	HF	4.250 [79]	
PZO	B3LYP	4.220 [109]	4.1614 [177]
CZO	B3LYP	4.157 [171]	-

Namely, B3LYP-simulated ABO perovskite bulk lattice constants are larger than B3PW-simulated ones for STO, BTO, PTO, and SZO perovskites [1–3,57,79,106,109,166,171], whereas for CTO and BZO perovskites, B3LYP- and B3PW-simulated bulk lattice constants coincide (Table 1). All B3LYP- and B3PW-simulated ABO perovskite bulk lattice constants are in a fair agreement with available experimental data [172–177] (Table 1). For instance, the B3PW-simulated BTO bulk lattice constant of 4.008 Å [1,46,79,166], only by 0.008 Å, or 0.2% of lattice constant  $a_0$ , exceeds the experimental value of 4.00 Å [172]. Furthermore, B3PW-simulated SZO bulk lattice constant of 4.155 Å [79] is even in a better agreement with the experimental value of 4.154 Å [175]. B3LYP-simulated CZO perovskite bulk lattice constant of 4.157 Å [171] in fact is a theoretical prediction. There are no available experimental data for the CZO bulk lattice constant in the cubic phase (Table 1). The largest disagreement between the B3LYP-simulated [109] and experimentally measured bulk lattice constant is for PZO perovskite. Specifically, the B3LYP-simulated PZO perovskite bulk lattice constant (4.220 Å) [109], by 0.0586 Å, or 1.41%, exceeds the experimental value of 4.1614 Å [177].

For instance, B3PW-simulated effective atomic charges in the STO bulk are equal to (+1.871e) on the Sr atom, (+2.351e) on the Ti atom and (−1.407e) on the O atom (Table 2) [2]. B3PW-simulated effective atomic charges in the BTO bulk are equal to (+1.797e) on the Ba atom, (+2.367e) on the Ti atom, and (−1.388e) on the O atom (Table 2) [1]. The smallest simulated A atom effective charge is on the PTO perovskite Pb atom (+1.354e), whereas the largest one is on the SZO perovskite Sr atom (+1.880e) (Table 2). In contrast, the smallest simulated B atom effective charge is on the PZO perovskite Pb atom (2.111e), whereas the largest one is on the BTO perovskite Ba atom (2.367e) (Table 2) [1,109]. The smallest chemical bond population value between B and O atoms B-O is in the CTO perovskite bulk (0.084e) (Table 2) [3]. Just opposite, the largest B-O chemical bond population value is in the BZO perovskite bulk (0.108e) (Table 2) [106]. It is interesting to note that the B3PW-simulated B-O chemical bond population values for the BTO (+0.098e) and PTO (+0.098e) perovskite bulk coincide (Table 2) [1,79] (Table 2).

**Table 2.** B3PW- and B3LYP-simulated ABO perovskite bulk atomic charges  $Q(e)$  and bond populations  $P(e)$  [1–3,76,77,106,109,171].

Perovskite		STO	BTO	PTO	CTO	SZO	BZO	PZO	CZO
Ion	Property	B3PW	B3PW	B3PW	B3PW	B3LYP	B3PW	B3LYP	B3LYP
A	$Q$	+1.871	+1.797	+1.354	+1.782	+1.880	+1.815	+1.368	+1.787
	$P$	−0.010	−0.034	+0.016	+0.006	+0.002	−0.012	+0.030	+0.014
O	$Q$	−1.407	−1.388	−1.232	−1.371	−1.351	−1.316	−1.160	−1.310
	$P$	+0.088	+0.098	+0.098	+0.084	+0.092	+0.108	+0.106	+0.086
B	$Q$	+2.351	+2.367	+2.341	+2.330	+2.174	+2.134	+2.111	+2.144

STO B3PW- (3.96 eV) [166] and B3LYP-simulated (3.89 eV) [166] bulk  $\Gamma$ - $\Gamma$  band gaps are in a fair consensus with the experimental data (3.75 eV) [178] (Table 3). At the same time, the HF-simulated [166] STO  $\Gamma$ - $\Gamma$  band gap (12.33 eV) strongly, more than three times, overestimates the experimental value of (3.75 eV) [178] (Table 3). On the other hand, the GGA-PW-simulated STO bulk  $\Gamma$ - $\Gamma$  band gap (2.31 eV) [166] underestimates the experimental bulk  $\Gamma$ - $\Gamma$  band gap value of (3.75 eV) [178] (Table 3). B3PW- (3.55 eV) [166] as well as B3LYP-simulated (3.49 eV) [166] BTO bulk  $\Gamma$ - $\Gamma$  band gaps are almost two times larger than the GGA-PW-simulated (1.97 eV) BTO bulk  $\Gamma$ - $\Gamma$  band gap (Table 3) [166]. The HF-simulated BTO bulk  $\Gamma$ - $\Gamma$  band gap (11.73 eV) is more than three times larger than the B3PW- and B3LYP-simulated band gap values [166] (Table 3). The B3PW-simulated BTO bulk  $\Gamma$ - $\Gamma$  band gap is depicted in Figure 6 [104] (Table 3).

**Table 3.** B3PW-, B3LYP-, GGA-PW- and HF-simulated ABO perovskite bulk  $\Gamma$ - $\Gamma$  band gaps (in eV) [79,109,166,179]. Experimental results are mentioned for comparison [178,180].

Simulated Material	Functional	Theory, $\Gamma$ - $\Gamma$ Band Gap	Experiment
STO	B3PW	3.96 [166]	3.75 [178]
	B3LYP	3.89 [166]	
	GGA-PW	2.31 [166]	
	HF	12.33 [166]	
BTO	B3PW	3.55 [166]	-
	B3LYP	3.49 [166]	
	GGA-PW	1.97 [166]	
	HF	11.73 [166]	
PTO	B3PW	4.32 [166]	-
	B3LYP	4.15 [166]	
	GGA-PW	2.61 [166]	
	HF	12.74 [166]	
CTO	B3PW	4.18 [79]	-
	B3LYP	4.20 [79]	
	GGA-PW	2.34 [79]	
	HF	12.63 [79]	

Table 3. Cont.

Simulated Material	Functional	Theory, $\Gamma$ - $\Gamma$ Band Gap	Experiment
SZO	B3PW	5.30 [109]	-
	B3LYP	5.31 [109]	
	GGA-PW	3.53 [109]	
	HF	13.54 [109]	
BZO	B3PW	4.93 [79]	5.3 [180]
	B3LYP	4.79 [179]	
	GGA-PW	3.24 [79]	
	HF	12.96 [79]	
PZO	B3LYP	5.63 [109]	-
	GGA-PW	3.86 [109]	
	HF	13.89 [109]	

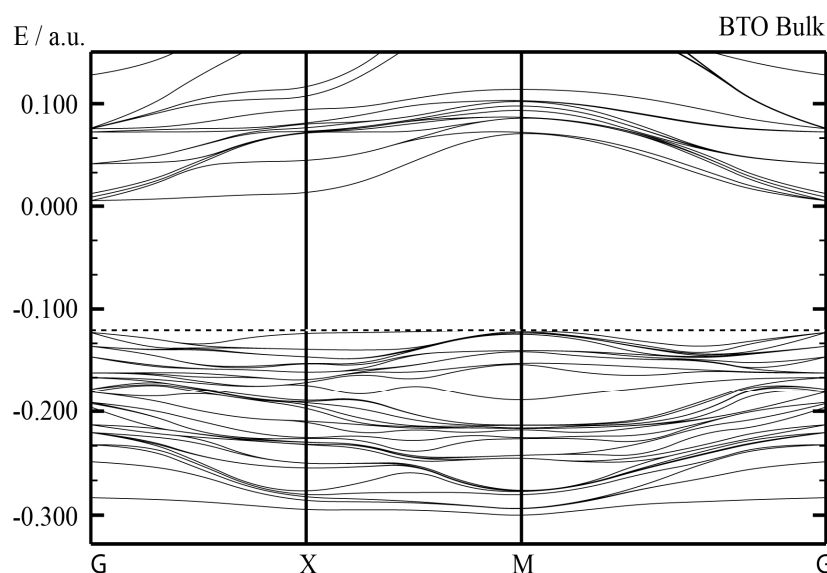


Figure 6. B3PW-simulated BTO perovskite bulk electronic band structure [104,125].

B3PW- (4.32 eV) [166] and B3LYP-simulated (4.15 eV) [166] PTO bulk  $\Gamma$ - $\Gamma$  band gaps are appreciably larger than the GGA-PW-simulated (2.61 eV) ones (Table 3) [166]. The HF-simulated PTO bulk  $\Gamma$ - $\Gamma$  band gap (12.74 eV) [166] is roughly three times larger than the respective B3PW- (4.32 eV) and B3LYP-simulated (4.15 eV) bulk band gaps (Table 3) [166]. The B3PW-simulated PTO bulk  $\Gamma$ - $\Gamma$  band gap as well as the electronic band structure are depicted in Figure 7 (Table 3) [166].

In the end, B3PW-simulated (4.93 eV) [79] as well as B3LYP-simulated (4.79 eV) [179] BZO bulk band gap  $\Gamma$ - $\Gamma$  values are in fine agreement with the experiment (5.3 eV) (Table 3) [180]. The GGA-PW-simulated (3.24 eV) [79] BZO bulk  $\Gamma$ - $\Gamma$  band gap, by 2.06 eV, appreciably underestimates the experimental BZO bulk  $\Gamma$ - $\Gamma$  band gap value of 5.3 eV [180]. The HF-simulated (12.96 eV) [79] BZO bulk  $\Gamma$ - $\Gamma$  band gap significantly, by 2.45 times, overestimated the experimental BZO bulk  $\Gamma$ - $\Gamma$  band gap value of 5.3 eV [180]. The B3PW-simulated BZO perovskite bulk electronic band structure is illustrated in Figure 8 [79] (Table 3).

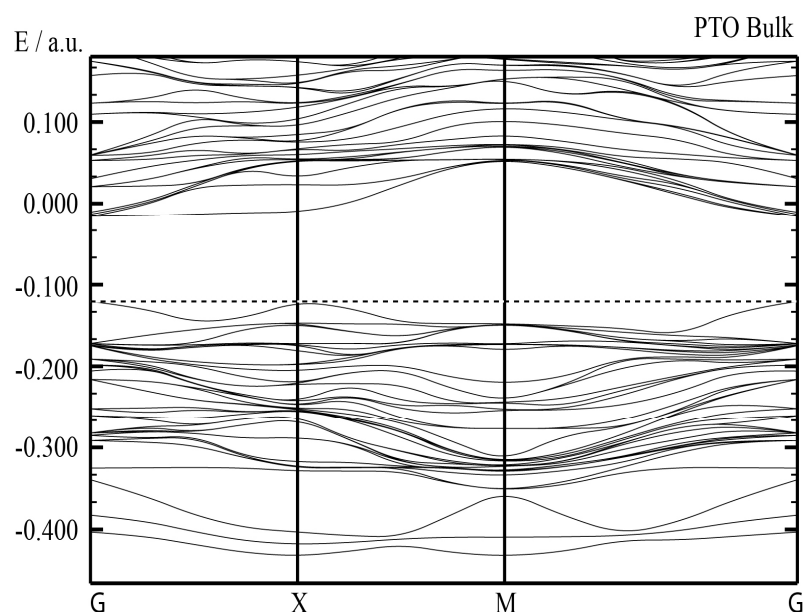


Figure 7. B3PW-simulated PTO perovskite bulk electronic band structure [104,125].

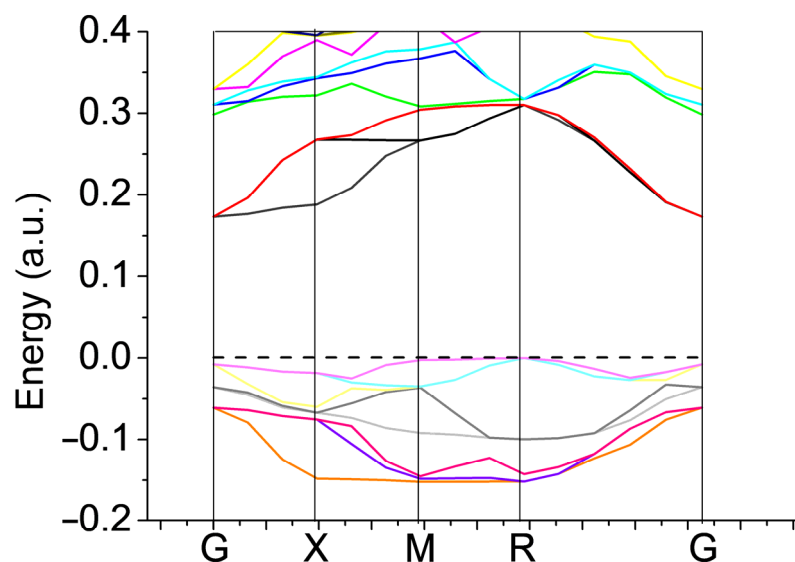
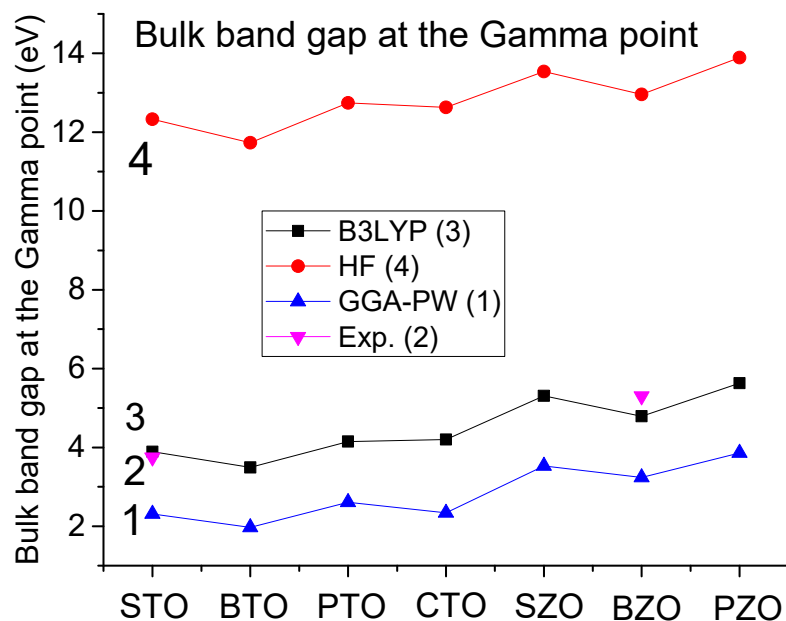


Figure 8. B3PW-simulated BZO perovskite bulk electronic band structure [79].

GGA-PW- (1), B3LYP- (3) and HF-computed (4) STO, BTO, PTO, CTO, SZO, BZO and PZO perovskite  $\Gamma$ - $\Gamma$  band gaps are depicted in Figure 9. The available experimental data for STO (3.75 eV) [178] and BZO (5.3 eV) [180] perovskite cubic phases (2) are listed for comparison purposes (Figure 9). As we can see from Table 3 and Figure 9, the B3LYP exchange–correlation functional allows to achieve the best agreement with the experiment for the ABO perovskite bulk  $\Gamma$ - $\Gamma$  band gaps. At the same time, the HF approach overestimates the experimental STO and BZO bulk  $\Gamma$ - $\Gamma$  band gap by roughly three times (Table 3 and Figure 9). On the other hand, the GGA-PW exchange–correlation functional, by roughly 1.6 times, underestimate the STO and BZO bulk  $\Gamma$ - $\Gamma$  band gap (Table 3 and Figure 9). It is worth noting that a similar trend is valid also for other materials, for example  $\text{CaF}_2$  [159,160]. The experimentally measured  $\text{CaF}_2$   $\Gamma$ - $\Gamma$  band gap is equal to 12.1 eV [159,160]. The  $\text{CaF}_2$   $\Gamma$ - $\Gamma$  band gap is greatly underestimated when using the LDA to the DFT functional (8.72 eV) [159,160]. From another perspective, similar to the ABO perovskites, the HF-simulated  $\text{CaF}_2$   $\Gamma$ - $\Gamma$  band gap is almost two times overestimated (20.77 eV) [159,160].



**Figure 9.** GGA-PW- (1), B3LYP- (3) and HF-computed (4) bulk band gaps at the Gamma point for seven ABO perovskites. Available experimental data (2) for STO and BZO perovskites are plotted for comparison purposes.

### 3.2. B3LYP and B3PW Simulations of ABO Perovskite (001) Surfaces

The B3PW- and B3LYP-simulated relaxation of the ABO perovskite  $\text{BO}_2$ - and AO-terminated (001) surface upper-two- or three-layer atoms are listed in Table 4 [1–3,106,109,171].

**Table 4.** B3LYP- and B3PW-simulated  $\text{BO}_2$ - and AO-terminated ABO perovskite (001) surface atomic relaxations (% of  $a_0$ ).

Perovskite		STO	BTO	PTO	CTO	SZO	BZO	PZO	CZO
Termination		$\text{TiO}_2$	$\text{TiO}_2$	$\text{TiO}_2$	$\text{TiO}_2$	$\text{ZrO}_2$	$\text{ZrO}_2$	$\text{ZrO}_2$	$\text{ZrO}_2$
Layer	Ion	B3PW	B3PW	B3PW	B3PW	B3LYP	B3PW	B3LYP	B3LYP
1	B	−2.25	−3.08	−2.81	−1.71	−1.38	−1.79	−2.37	−1.30
	O	−0.13	−0.35	+0.31	−0.10	−2.10	−1.70	−1.99	−2.31
2	A	+3.55	+2.51	+5.32	+2.75	+2.81	+1.94	+4.36	+4.23
	O	+0.57	+0.38	+1.28	+1.05	+0.91	+0.85	+1.04	+1.25
3	B	-	-	-	-	−0.04	−0.03	−0.47	−0.05
	O	-	-	-	-	−0.05	0.00	−0.28	−0.09
Termination		SrO	BaO	PbO	CaO	SrO	BaO	PbO	CaO
Layer	Ion	B3PW	B3PW	B3PW	B3PW	B3LYP	B3PW	B3LYP	B3LYP
1	A	−4.84	−1.99	−3.82	−8.31	−7.63	−4.30	−5.69	−10.01
	O	+0.84	−0.63	−0.31	−0.42	−0.86	−1.23	−2.37	−0.79
2	B	+1.75	+1.74	+3.07	+1.12	+0.86	+0.47	+0.57	+1.11
	O	+0.77	+1.40	+2.30	+0.01	−0.05	+0.18	+0.09	+0.01
3	A	-	-	-	-	−1.53	−0.01	−0.47	−2.60
	O	-	-	-	-	−0.45	−0.14	−0.47	−0.48

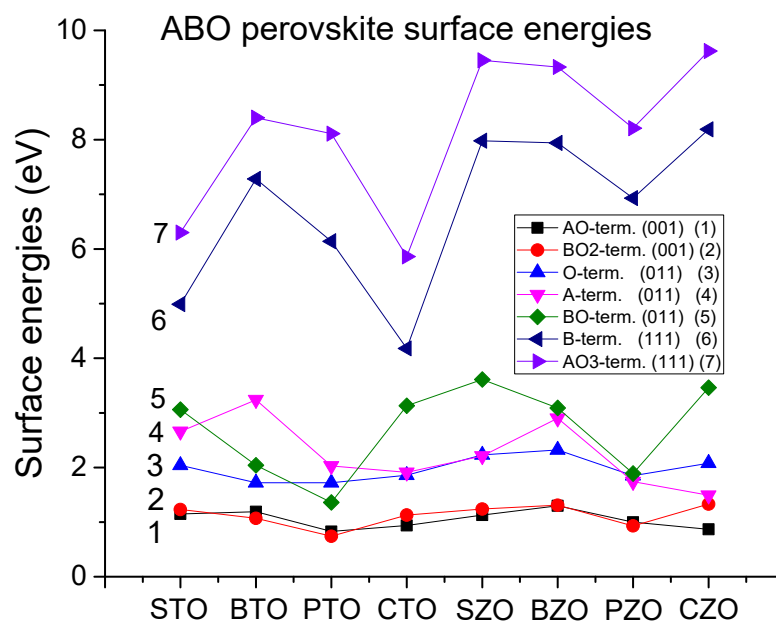
The relaxation of  $\text{BO}_2$ - and AO-terminated ABO perovskite (001) surface upper two-layer metal atoms, as a rule, is larger than the same layer oxygen atoms (Table 4). There are only

two exceptions to this systematic trend (Table 4). Namely, the ZrO<sub>2</sub>-terminated SZO and CZO (001) surface upper-layer Sr and Ca atom displacements are smaller than the respective oxygen atom displacements (Table 4). Another systematic trend for BO<sub>2</sub>- and AO-terminated ABO perovskite (001) surfaces is inwards relaxation of most of the upper-layer atoms (Table 4). At one time, most of the second-layer atoms relax upwards, while most of the third-layer atoms, once more, relax inwards (Table 4). There are only three exceptions to this systematic trend (Table 4). The strongest shift in magnitude between all B3LYP- or B3PW-simulated atoms shows the upper-layer Ca atom on the CaO-terminated CZO (001) surface (Table 4). This B3LYP-simulated CaO-terminated CZO perovskite (001) surface upper-layer Ca atom inward relaxation magnitude is really huge (−10.01% of  $a_0$ ) [171] in comparison to all other ABO perovskite-simulated atom relaxation magnitudes [1–3,106,109,171] (Table 4).

B3LYP- and B3PW-simulated ABO perovskite (001), (011) and (111) surface energies are collected in Table 5 and Figure 10. All B3LYP- and B3PW-simulated ABO perovskite (001) surface energies are roughly around 1 eV [1–3,106,109,171]. B3LYP- and B3PW-simulated AO- and BO<sub>2</sub>-terminated ABO perovskite (001) surface energies are comparable (Table 5 and Figure 10). The largest energy difference (0.46 eV) is between the CaO-terminated CZO (001) surface (0.87 eV) and ZrO<sub>2</sub>-terminated CZO (001) surface (1.33 eV). At the same time, B3PW-simulated BZO perovskite BaO (1.30 eV) and ZrO<sub>2</sub>-terminated (1.31 eV) (001) surface energies almost coincide (Table 5 and Figure 10). The largest B3LYP-simulated ABO perovskite (001) surface energy is for the ZrO<sub>2</sub>-terminated CZO (001) surface (1.33 eV). By contrast, the smallest B3PW-simulated ABO perovskite (001) surface energy is for the TiO<sub>2</sub>-terminated PTO perovskite (0.74 eV). It is noteworthy to mention that earlier simulations performed by Heifets et al. [50], using a very simple mechanical shell model (SM), also yield the similar results [1–3,106,109,171] (Table 5). That is to say that SM-simulated SrO- and TiO<sub>2</sub>-terminated STO (001) surface energies are 1.32 eV and 1.36 eV, respectively [50]. Only slightly larger are the SM-simulated BaO and TiO<sub>2</sub>-terminated BTO (001) surface energies (1.45 eV and 1.40 eV, respectively) [50]. For both STO and BTO perovskites, these SM-simulated (001) surface energies are comparable and slightly larger than 1 eV [1–3,50,106,109,171] (Table 5).

**Table 5.** B3LYP- and B3PW-computed ABO perovskite (001), (011), and (111) surface energies (in eV per surface cell).

Perovskite	STO	BTO	PTO	CTO	SZO	BZO	PZO	CZO
Termination	Surface energies, (001)							
AO	1.15	1.19	0.83	0.94	1.13	1.30	1.00	0.87
BO <sub>2</sub>	1.23	1.07	0.74	1.13	1.24	1.31	0.93	1.33
Termination	Surface energies, (011)							
A	2.66	3.24	2.03	1.91	2.21	2.90	1.74	1.49
BO	3.06	2.04	1.36	3.13	3.61	3.09	1.89	3.46
O	2.04	1.72	1.72	1.86	2.23	2.32	1.85	2.08
Termination	Surface energies, (111)							
B	4.99	7.28	6.14	4.18	7.98	7.94	6.93	8.19
AO <sub>3</sub>	6.30	8.40	8.11	5.86	9.45	9.33	8.21	9.62



**Figure 10.** B3LYP- and B3PW-simulated ABO perovskite surface energies; for neutral AO- (line 1) and BO<sub>2</sub>-terminated (line 2) ABO (001) surfaces; for polar O-terminated (line 3), A-terminated (line 4), and BO-terminated (line 5) ABO perovskite (011) surfaces; as well as for polar B-terminated (line 6), and AO<sub>3</sub>-terminated (line 7) ABO perovskite (111) surfaces (in eV per surface cell).

In contrast, in most cases, there are large energy differences between different terminations (A, BO, O) of ABO perovskite polar (011) surfaces (Table 5 and Figure 10) [1–3,106,109,171]. Namely, the largest ABO perovskite (011) surface energy difference (1.97 eV) is between the A- (1.49 eV) and BO-terminated (3.46 eV) CZO (011) surfaces (Table 5 and Figure 10). On the contrary, for the PZO perovskite, the polar (011) surface energies for all three terminations A (1.74 eV), BO (1.89 eV), and O (1.85 eV) almost coincide (Table 5 and Figure 10) [1–3,106,109,171]. It is worth noting that for all ABO perovskites, their polar (011) surface energies are always larger than the neutral (001) surface energies (Table 5 and Figure 10). Nevertheless, the ABO perovskite polar (111) surface energies are always larger than even their polar (011) surface energies [1–3,106,109,171]. The absolutely largest B3LYP-computed ABO perovskite surface energy (9.62 eV) is for the AO<sub>3</sub>-terminated polar CZO (111) surface.

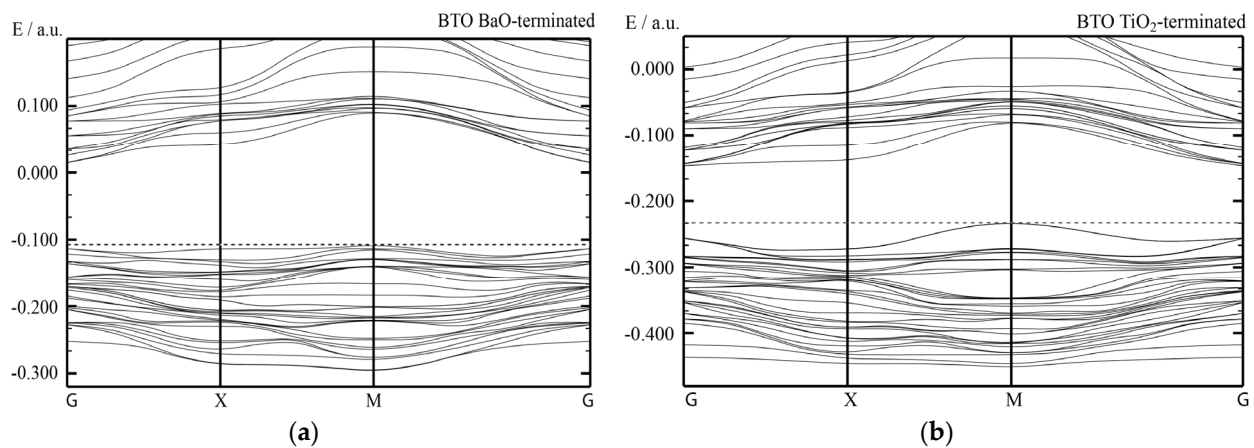
B3LYP- and B3PW-simulation results dealing with ABO perovskite bulk as well as AO- and BO<sub>2</sub>-terminated (001) surface  $\Gamma$ - $\Gamma$  band gaps are collected in Table 6. As we can see from Table 6, the B3PW-simulated STO bulk  $\Gamma$ - $\Gamma$  band gap (3.96 eV) [104] is in a fair agreement with the available experimental data (3.75 eV) [178]. The STO perovskite AO-terminated (3.72 eV) and BO<sub>2</sub>-terminated (3.95 eV) (001) surface  $\Gamma$ - $\Gamma$  band gaps are reduced regarding the respective STO bulk band gap value (3.96 eV) [104,178] (Table 6).

The B3PW-simulated BTO bulk band gap at  $\Gamma$ -point is equal to 3.55 eV (Table 6) [104,125]. The B3PW-simulated BaO-terminated BTO (001) surface  $\Gamma$ - $\Gamma$  band gap is equal to 3.49 eV (Figure 11a) [104,125]. The TiO<sub>2</sub>-terminated BTO (001) surface electronic band structure is depicted in Figure 11b (Table 6) [104,125]. Its band gap at  $\Gamma$ -point is equal to 2.96 eV (Table 6) (Figure 11b) [104].

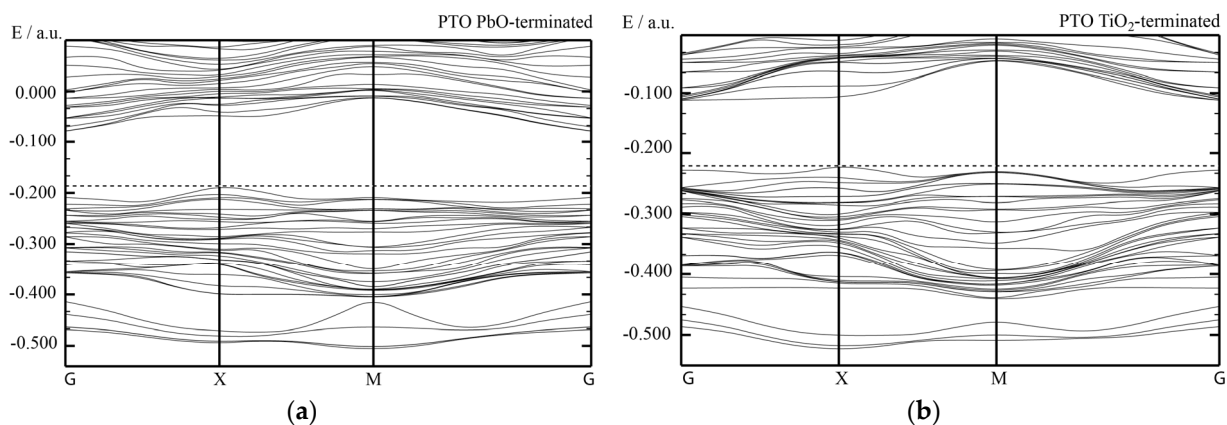
The B3PW-simulated PTO bulk  $\Gamma$ - $\Gamma$  band is equal to 4.32 eV (Table 6) [104]. The B3PW-simulated PbO-terminated PTO (001) surface  $\Gamma$ - $\Gamma$  band gap is equal to 3.58 eV (Figure 12a), whereas the TiO<sub>2</sub>-terminated PTO (001) surface band gap is equal to 3.18 eV (Figure 12b) (Table 6) [104,125]. B3PW-simulated PbO- and TiO<sub>2</sub>-terminated PTO (001) surface electronic band structures are depicted in Figure 12a and Figure 12b, respectively [104,125].

**Table 6.** B3LYP- and B3PW-simulated ABO perovskite bulk and (001) surface  $\Gamma$ - $\Gamma$  band gaps (in eV). Available experimental data are listed for comparison purposes.

Perovskite	Method	$\Gamma$ - $\Gamma$ Band Gap			
		Bulk	AO-t. (001)	BO <sub>2</sub> -t. (001)	Experiment
STO	B3PW	3.96 [104]	3.72 [104]	3.95 [104]	3.75 [178]
BTO	B3PW	3.55 [104]	3.49 [104]	2.96 [104]	-
PTO	B3PW	4.32 [104]	3.58 [104]	3.18 [104]	-
CTO	B3PW	4.18 [79]	3.87 [79]	3.30 [79]	-
SZO	B3LYP	5.31 [109]	5.04	4.91	-
BZO	B3PW	4.93 [79]	4.82	4.48	5.3 [180]
PZO	B3LYP	5.63 [109]	3.86	4.60	-
CZO	B3LYP	5.40 [79]	5.00	5.22	-



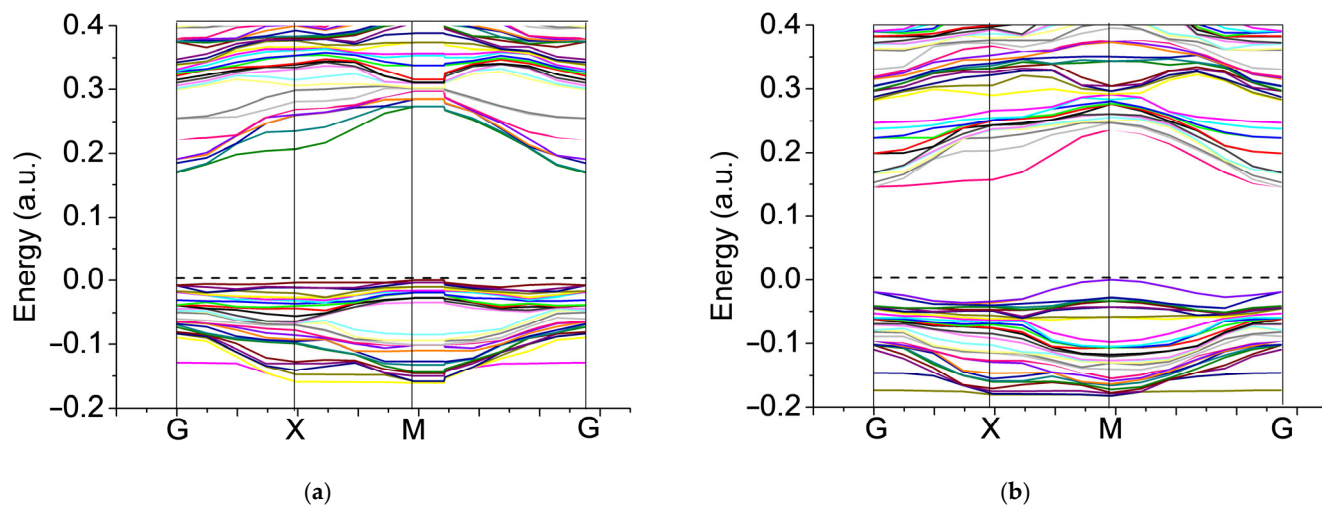
**Figure 11.** B3PW-simulated BaO- (a) and TiO<sub>2</sub>-terminated (b) BTO perovskite (001) surface electronic band structure [104,125].



**Figure 12.** B3PW-simulated PbO- (a) and TiO<sub>2</sub>-terminated (b) PTO perovskite (001) surface electronic band structure [104,125].

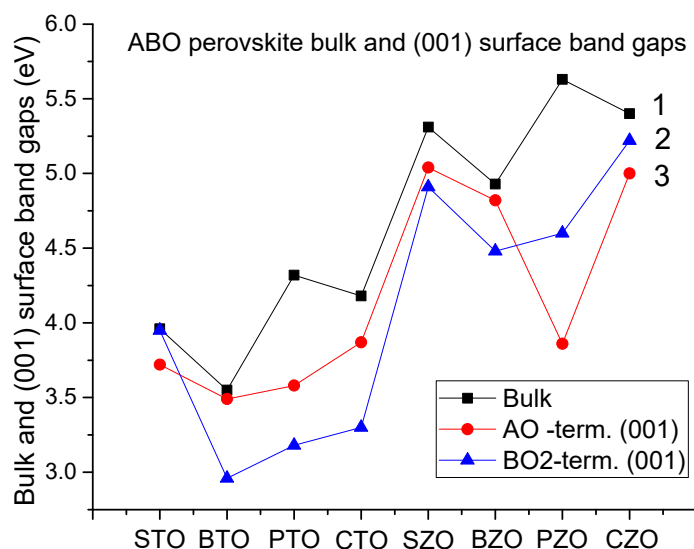
The B3PW-simulated BZO bulk band gap at  $\Gamma$ -point is equal to 4.93 eV [79] and is in fair agreement with the available experimental data of 5.3 eV [180] (Table 6). The B3PW-simulated BaO-terminated BZO (001) surface  $\Gamma$ - $\Gamma$  band gap (Figure 13a) is equal to 4.82 eV, whereas the ZrO<sub>2</sub>-terminated BZO (001) surface  $\Gamma$ - $\Gamma$  band gap is equal to 4.48 eV

(Figure 13b) (Table 6). B3PW-simulated BaO- and ZrO<sub>2</sub>-terminated BZO (001) surface electronic band structures are illustrated in Figure 13a and Figure 13b, respectively [79].



**Figure 13.** B3PW-simulated BaO- (a) and TiO<sub>2</sub>-terminated (b) BZO perovskite (001) surface electronic band structure [79].

As it is possible to see from Figure 14 and Table 6, B3LYP- and B3PW-simulated ABO perovskite bulk  $\Gamma$ - $\Gamma$  band gaps always are larger than their (001) surface band gaps. The smallest energy difference is for the STO perovskite bulk (3.96 eV) and its BO<sub>2</sub>-terminated (001) surface (3.95 eV)  $\Gamma$ - $\Gamma$  band gaps, only 0.01 eV (Figure 14 and Table 6). Also, for BTO perovskite, the bulk  $\Gamma$ - $\Gamma$  band gap (3.55 eV) exceeds the AO-terminated BTO (001) surface  $\Gamma$ - $\Gamma$  band gap (3.49 eV) only by 0.06 eV (Table 6 and Figure 14). For all other B3LYP- or B3PW-simulated ABO perovskites, their bulk  $\Gamma$ - $\Gamma$  band gaps exceed their (001) surface  $\Gamma$ - $\Gamma$  band gaps more strongly (Table 6 and Figure 14) [1–3,106,109,171].



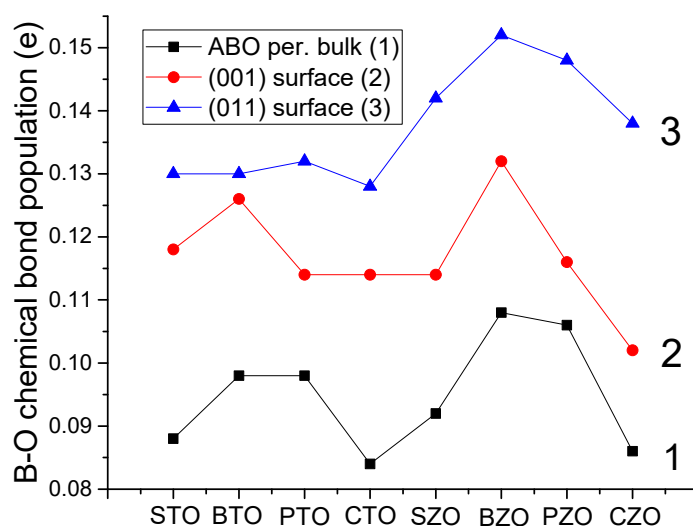
**Figure 14.** B3PW- and B3LYP-simulated ABO perovskite bulk (1) as well as BO<sub>2</sub>- (2) and AO-terminated (3) (001) surface  $\Gamma$ - $\Gamma$  band gaps (in eV).

As it is possible to see from Table 7 and Figure 15, the B-O atom chemical bond population in the ABO perovskite bulk is always smaller than in its BO<sub>2</sub>-terminated (001) surface as well as on the BO-terminated polar (011) surface [1–3,57,79,106,109,166,171]. B3LYP- and B3PW-simulated ABO perovskite bulk B-O chemical bond populations are in

the range from  $0.084e$  for the CTO perovskite to  $0.108e$  for the BZO perovskite (Table 7 and Figure 15). B3LYP- and B3PW-simulated  $\text{BO}_2$ -terminated ABO perovskite (001) surface B-O chemical bond populations are in the range from  $0.102e$  for the CZO perovskite to  $0.132e$  for the BZO perovskite (Table 7 and Figure 15). Finally, the largest B3LYP- and B3PW-simulated B-O atom chemical bond populations are for the polar  $\text{BO}$ -terminated ABO perovskite (011) surfaces. They are in the range of  $0.128e$  for the TiO-terminated CTO perovskite (011) surface to  $0.152e$  for the ZrO-terminated BZO perovskite polar (011) surface (Table 7 and Figure 15).

**Table 7.** B3LYP- and B3PW-simulated ABO perovskite B-O chemical bond populations for their bulk,  $\text{BO}_2$ -terminated (001) surfaces as well as polar  $\text{BO}$ -terminated (011) surfaces (in  $e$ ) [1–3,57,79,106,109,166,171].

B-O Chemical Bond Populations in ABO Perovskites								
Perovskite	STO	BTO	PTO	CTO	SZO	BZO	PZO	CZO
Functional	B3PW	B3PW	B3PW	B3PW	B3LYP	B3PW	B3LYP	B3LYP
Bulk	0.088	0.098	0.098	0.084	0.092	0.108	0.106	0.086
B-O, (001) surf.	0.118	0.126	0.114	0.114	0.114	0.132	0.116	0.102
B-O, (011) surf.	0.130	0.130	0.132	0.128	0.142	0.152	0.148	0.138



**Figure 15.** B3LYP- and B3PW-simulated B-O chemical bond populations for ABO perovskite bulk (1) as well as their (001) (2) and (011) (3) surfaces (in  $e$ ) [1–3,57,79,106,109,166,171].

### 3.3. B3PW-Simulated STO/BTO (001) Heterostructures

By means of B3PW hybrid exchange–correlation functionals, the STO/BTO (001) interfaces were simulated [122,124,125]. In B3PW simulations, the symmetrically terminated slab model [122,124,125] was employed (Figure 3). The STO (001) substrate (Figure 3), used in B3PW simulations, contained 11 atomic layers, and was terminated from both sides by the  $\text{TiO}_2$ -containing layers [122,124,125]. In the following stage, the layer-by-layer epitaxial growth of the STO/BTO (001) interface (Table 8 and Figure 3) was simulated [122,124,125]. Specifically, the pair of the BTO perovskite (001) layers were always attached on both sides of the 11-layer symmetrical STO substrate (Figure 3) [122,124,125].

**Table 8.** B3PW-simulated STO/BTO (001) interface atomic charges  $Q_{\text{atom}}$  (in  $e$ ), in plane clean charges  $Q_{\text{plane}}$  (in  $e$ ), relative in plane displacement  $\Delta z$  (% of  $a_0$ ) with reference to the perfect bulk locations of the metal atoms and respective band gaps  $\delta$  (in eV) [122,124,125]. Planes of STO (001) substrate are itemized making use of the Arabic numbers (Figure 3). On the other hand, Roman numbers are employed to itemize the planes of our augmented BTO film. The number 0 describes the central plane of the (001) heterostructure (Figure 3).

Layer	Prop.	STO	BTO1	BTO2	BTO3	BTO4	BTO5	BTO6	BTO7	BTO8	BTO9	BTO10
X	$Q_{\text{Ti}}$											2.30
	$Q_{\text{O}}$											−1.27
	$Q_{\text{plane}}$											−0.23
	$\Delta z$											−3.92
IX	$Q_{\text{Ba}}$										1.75	1.76
	$Q_{\text{O}}$										−1.48	−1.37
	$Q_{\text{plane}}$										0.27	0.39
	$\Delta z$										−2.32	4.60
VIII	$Q_{\text{Ti}}$									2.30	2.37	2.36
	$Q_{\text{O}}$									−1.26	−1.41	−1.36
	$Q_{\text{plane}}$									−0.23	−0.44	−0.36
	$\Delta z$									−3.84	3.65	0.65
VII	$Q_{\text{Ba}}$								1.75	1.76	1.80	1.79
	$Q_{\text{O}}$								−1.48	−1.37	−1.42	−1.40
	$Q_{\text{plane}}$								0.26	0.39	0.38	0.39
	$\Delta z$								−2.21	4.62	0.68	2.12
VI	$Q_{\text{Ti}}$						2.30	2.37	2.36	2.36	2.36	2.36
	$Q_{\text{O}}$						−1.26	−1.40	−1.36	−1.38	−1.38	−1.37
	$Q_{\text{plane}}$						−0.23	−0.43	−0.36	−0.40	−0.40	−0.38
	$\Delta z$						−3.70	3.69	0.74	1.88	1.50	1.50
V	$Q_{\text{Ba}}$					1.75	1.76	1.80	1.79	1.79	1.79	1.79
	$Q_{\text{O}}$					−1.49	−1.38	−1.42	−1.40	−1.41	−1.41	−1.41
	$Q_{\text{plane}}$					0.26	0.38	0.37	0.39	0.39	0.39	0.39
	$\Delta z$					−2.07	4.68	0.76	2.15	1.40	1.71	1.71
IV	$Q_{\text{Ti}}$				2.30	2.37	2.36	2.36	2.36	2.36	2.36	2.36
	$Q_{\text{O}}$				−1.26	−1.40	−1.36	−1.38	−1.37	−1.38	−1.38	−1.37
	$Q_{\text{plane}}$				−0.22	−0.43	−0.36	−0.40	−0.38	−0.39	−0.39	−0.39
	$\Delta z$				−3.55	3.73	0.83	1.92	1.57	1.57	1.63	1.63
III	$Q_{\text{Ba}}$			1.75	1.76	1.80	1.79	1.79	1.79	1.79	1.79	1.79
	$Q_{\text{O}}$			−1.49	−1.39	−1.43	−1.41	−1.41	−1.41	−1.41	−1.40	−1.41
	$Q_{\text{plane}}$			0.25	0.38	0.37	0.38	0.39	0.38	0.38	0.39	0.38
	$\Delta z$			−1.84	4.77	0.89	2.27	1.48	1.79	1.53	1.68	1.68
II	$Q_{\text{Ti}}$		2.29	2.37	2.35	2.36	2.36	2.36	2.36	2.36	2.36	2.36
	$Q_{\text{O}}$		−1.25	−1.40	−1.35	−1.38	−1.37	−1.38	−1.37	−1.37	−1.38	−1.37
	$Q_{\text{plane}}$		−0.21	−0.42	−0.35	−0.39	−0.37	−0.39	−0.39	−0.38	−0.39	−0.39
	$\Delta z$		−3.20	3.83	1.03	2.04	1.72	1.69	1.75	1.60	1.71	1.71
I	$Q_{\text{Ba}}$		1.75	1.76	1.80	1.79	1.79	1.79	1.79	1.79	1.79	1.79
	$Q_{\text{O}}$		−1.51	−1.40	−1.44	−1.43	−1.42	−1.42	−1.42	−1.42	−1.41	−1.42
	$Q_{\text{plane}}$		0.23	0.36	0.35	0.36	0.37	0.37	0.38	0.37	0.38	0.37
	$\Delta z$		−1.54	4.87	1.02	2.35	1.53	1.84	1.55	1.70	1.51	1.64

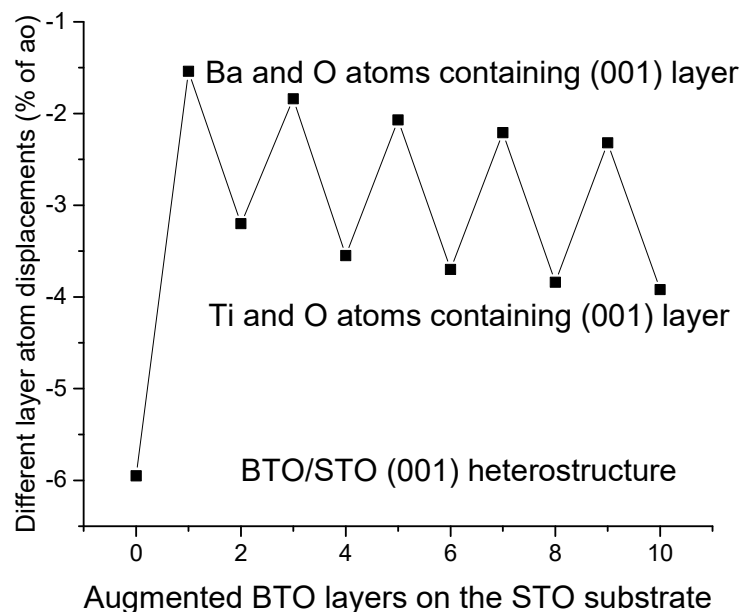
Table 8. Cont.

Layer	Prop.	STO	BTO1	BTO2	BTO3	BTO4	BTO5	BTO6	BTO7	BTO8	BTO9	BTO10
5	$Q_{Ti}$	2.29	2.37	2.35	2.36	2.36	2.36	2.36	2.36	2.36	2.36	2.36
	$Q_O$	−1.29	−1.41	−1.38	−1.40	−1.39	−1.40	−1.40	−1.40	−1.40	−1.40	−1.40
	$Q_{plane}$	−0.30	−0.45	−0.40	−0.44	−0.42	−0.44	−0.43	−0.44	−0.44	−0.45	−0.44
	$\Delta z$	−5.95	1.96	−1.13	−0.11	−0.46	−0.47	−0.43	−0.56	−0.48	−0.61	−0.53
4	$Q_{Sr}$	1.85	1.88	1.87	1.87	1.87	1.87	1.87	1.87	1.87	1.87	1.87
	$Q_O$	−1.37	−1.41	−1.39	−1.38	−1.38	−1.37	−1.38	−1.37	−1.37	−1.36	−1.37
	$Q_{plane}$	0.48	0.47	0.48	0.50	0.49	0.50	0.50	0.51	0.50	0.51	0.50
	$\Delta z$	4.13	−1.32	0.60	−0.43	−0.10	−0.39	−0.26	−0.43	−0.33	−0.47	−0.37
3	$Q_{Ti}$	2.35	2.36	2.36	2.36	2.36	2.36	2.36	2.36	2.36	2.36	2.36
	$Q_O$	−1.38	−1.42	−1.41	−1.42	−1.41	−1.42	−1.42	−1.43	−1.42	−1.43	−1.42
	$Q_{plane}$	−0.42	−0.48	−0.45	−0.48	−0.47	−0.49	−0.48	−0.49	−0.48	−0.49	−0.49
	$\Delta z$	−0.96	0.27	−0.20	−0.25	−0.21	−0.38	−0.29	−0.44	−0.35	−0.48	−0.40
2	$Q_{Sr}$	1.87	1.87	1.87	1.87	1.87	1.87	1.87	1.87	1.87	1.87	1.87
	$Q_O$	−1.42	−1.40	−1.41	−1.39	−1.40	−1.39	−1.39	−1.38	−1.39	−1.38	−1.39
	$Q_{plane}$	0.45	0.47	0.46	0.48	0.47	0.49	0.48	0.49	0.48	0.49	0.49
	$\Delta z$	0.88	−0.35	0.12	−0.29	−0.15	−0.37	−0.26	−0.42	−0.32	−0.45	−0.37
1	$Q_{Ti}$	2.35	2.36	2.36	2.36	2.36	2.36	2.36	2.36	2.36	2.36	2.36
	$Q_O$	−1.40	−1.42	−1.41	−1.42	−1.42	−1.42	−1.42	−1.43	−1.42	−1.43	−1.42
	$Q_{plane}$	−0.44	−0.47	−0.46	−0.48	−0.47	−0.49	−0.48	−0.49	−0.48	−0.49	−0.49
	$\Delta z$	0.14	−0.09	−0.01	−0.27	−0.17	−0.36	−0.26	−0.42	−0.33	−0.46	−0.37
0	$Q_{Sr}$	1.87	1.87	1.87	1.87	1.87	1.87	1.87	1.87	1.87	1.87	1.87
	$Q_O$	−1.42	−1.40	−1.41	−1.39	−1.40	−1.39	−1.39	−1.38	−1.39	−1.38	−1.39
	$Q_{plane}$	0.45	0.47	0.46	0.48	0.47	0.49	0.48	0.49	0.48	0.49	0.49
	$\Delta z$	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	$\delta$	2.58	3.47	2.33	3.29	2.16	3.25	2.10	3.24	2.06	3.22	2.06

To perform B3PW simulations of STO/BTO (001) heterostructures, between one and 10 BTO (001) layers on both sides of the STO substrate were augmented (Table 8 and Figure 3) [122,124,125]. Consequently, 10 STO/BTO (001) heterostructures were constructed (Table 8 and Figure 3). In B3PW simulations, due to symmetry constraints, the relaxation of atoms was carried out only alongside the  $z$ -axis (Table 8). Were performed B3PW simulations for the atomic shifts ( $\Delta z$ ) with respect to the averaged position ( $z$ ) of the precursory atomic layer, as defined in the Equation (4).

As we see from Table 8 and Figure 16, B3PW-simulated  $TiO_2$ -terminated 11-layer-containing STO (001) substrate's upper layer ( $x = 0$  in Figure 16) rather strongly relaxes towards the STO perovskite bulk by (−5.95% of  $a_0$ ). B3PW simulation results (Table 8) show that the upper BTO (001) layer atoms, which are augmented on the  $TiO_2$ -terminated STO substrate, always ( $\Delta z$ ) relax inwards ( $x = 1$ –10 in Figure 16) [122,124,125]. The BTO perovskite augmented on the STO (001) substrate upper (001) layer atom inward displacement numeric value (Table 8)  $\Delta z$  strongly rests on the even or odd number of upper layers (Figure 16). This characterizes the upper-augmented BTO perovskite (001) layer termination ( $TiO_2$  or BaO) (Table 8 and Figure 16). For instance, in the case of one augmented BTO perovskite (001) layer ( $x = 1$ ), consisting of both Ba as well as O atoms (Table 8 and Figure 16), the atoms' displacement ( $\Delta z$ ) magnitude equals (−1.54% of  $a_0$ ) [122,124,125]. When two BTO (001) layers are attached, and the top layer contains O and Ti atoms, the respective displacement size  $\Delta z$  equals (−3.20% of  $a_0$ ) (Table 8 and Figure 16). In the case of three attached BTO (001) layers, the top layer O and Ba atom displacement size  $\Delta z$  equals

(−1.84% of  $a_0$ ) (Table 8 and Figure 16). When four BTO (001) layers are attached, the top layer O and Ti atom displacement size  $\Delta z$  equals (−3.55% of  $a_0$ ) (Table 8 and Figure 16).



**Figure 16.** B3PW-simulated top-layer atom displacement sizes  $\Delta z$  (% of  $a_0$ ) for 11-layer STO perovskite substrate ( $x = 0$ ) and for 10 augmented BTO (001) layers ( $x = 1$ – $10$ ) [122,124,125].

In Table 8 are collected B3PW-simulated total Mulliken charges for the STO and BTO perovskite (001) atomic planes  $\text{TiO}_2$ ,  $\text{SrO}$  and  $\text{BaO}$  [122,124,125]. At the B3PW level, they were simulated, as well as the specific atomic charges for all separate atoms located on the planes  $Q_{\text{Ti}}$ ,  $Q_{\text{Sr}}$ ,  $Q_{\text{Ba}}$  and  $Q_{\text{O}}$  (Table 8). B3PW-simulated Mulliken atomic charges of atoms in the BTO and STO perovskite bulk are collected in Table 2 [122,124,125]. According to performed B3PW simulations, the Ti-O chemical bond covalency near the  $\text{TiO}_2$ -terminated STO (0.118 $e$ ) and BTO (0.126 $e$ ) (001) surfaces increases with respect to its respective bulk values 0.088 $e$  for STO and 0.100 $e$  for BTO (Table 2) [122,124,125]. We want to stress that, according to performed B3PW simulations [122,124,125], related effects are observed also around the STO/BTO (001) interfaces. Namely, the increase in the Ti-O atom chemical bond covalency from the BTO perovskite bulk (0.100 $e$ ) to (0.126 $e$ ) near the STO/BTO (001) interface [122,124,125]. Results of performed B3PW simulations [122,124,125] show that the upper-layer planes of the  $\text{TiO}_2$ -terminated BTO (001) 10-layer thin films, placed on the 11-layer STO (001) substrate attract additional 0.23 $e$  (Table 8) [122,124,125]. It is worth noting, that B3PW-simulated BaO-terminated STO/BTO (001) heterostructures (Figure 3) become more positively charged, in order to recompensate for the surface relaxation (Table 8) [122,124,125].

B3PW-simulated difference electron charge density maps for the STO/BTO (001) interfaces are illustrated in Figure 17. B3PW-simulated difference electron charge density maps (Figure 17) exhibit that the key distortion happens at the (001) heterostructure (Figure 17). It is caused by the restructuring of the surface effects of the (001) slabs (Figure 17) [122,124,125].

Figures 18 and 19 illustrate B3PW-simulated DOS, expressed layer by layer for all atomic orbitals of the Ba, Sr, Ti as well as O atoms for the three- and four-UC-thick STO/BTO (001) interfaces (Figures 18 and 19). The B3PW-simulated top of the valence band (VB) consists mostly of the O  $2p$  orbitals. The B3PW-simulated bottom of the conduction band (CB) consists mostly of the Ti  $3d$  states (Figures 18 and 19). It is worth noting that the hybridization between the Ti as well as O atoms is very strong (Figures 18 and 19).



In B3PW simulations, the shifts of atoms  $\Delta z$  along the  $z$ -axis were computed using equation (4) [122–126]. The  $\text{TiO}_2$ -terminated upper-layer displacement  $\Delta z$  ( $-5.95\%$  of  $a_0$ ) of the 11-layer STO (001) substrate is illustrated in Figure 22 ( $x = 0$ ). The B3PW-simulated inward relaxation magnitude ( $\Delta z$ ) of the augmented PTO perovskite (001) thin film depends from the number of augmented layers. Namely, the relaxation magnitude  $\Delta z$  is equal to ( $-6.01$ ;  $-7.76$ ;  $-6.97$ ;  $-8.25$ ;  $-7.26$ ;  $-8.38$ ;  $-7.34$ ;  $-8.54$ ;  $-7.42$ ;  $-8.54$ ) ( $\%$  of  $a_0$ ) for the number of PTO (001) layers ranging from  $x = 1$  to  $x = 10$ , respectively (Figure 22).

For PbO as well as  $\text{TiO}_2$  terminations of the augmented PTO perovskite (001) thin film, the absolute value of the atomic relaxation magnitude ( $\Delta z$ ) increases as a function of the augmented layers number (Figure 22). The absolute values of atomic displacements ( $\Delta z$ ) for the  $\text{TiO}_2$ -terminated augmented PTO perovskite (001) thin film are, in all cases, larger than for the PbO-terminated augmented PTO (001) thin film (Figure 22).

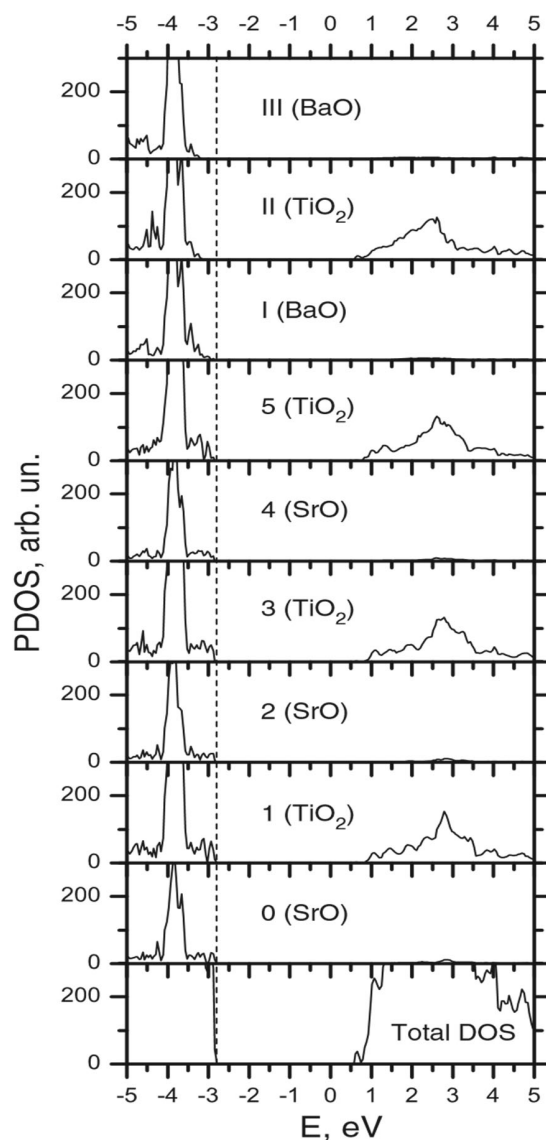


Figure 18. B3PW-simulated layer-by-layer design DOS for 3-UC-thick STO/BTO (001) interface [125].

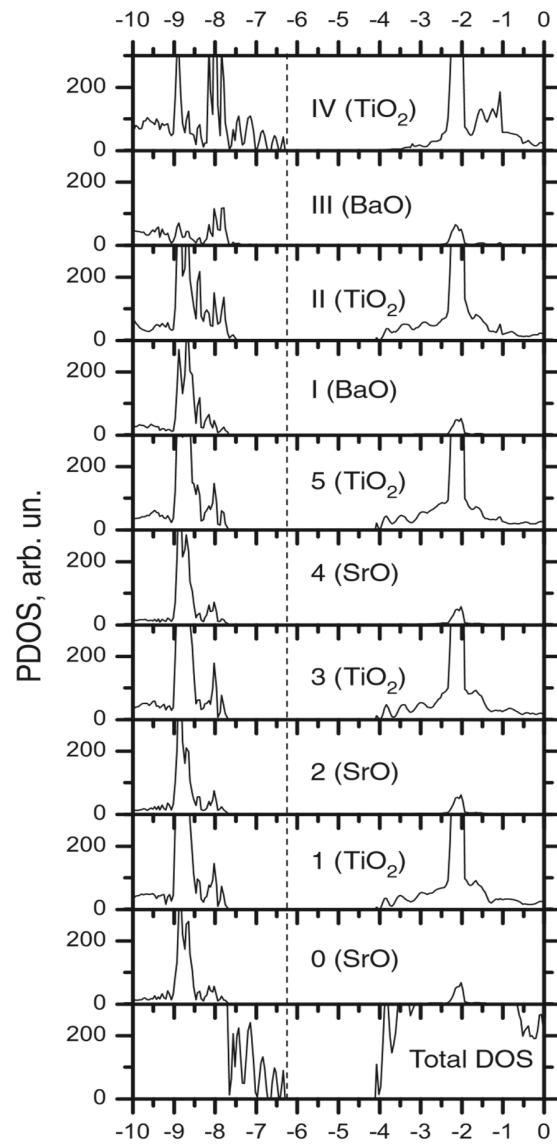


Figure 19. B3PW-simulated layer-by-layer-design DOS for 4-UC-thick STO/BTO (001) interface [125].

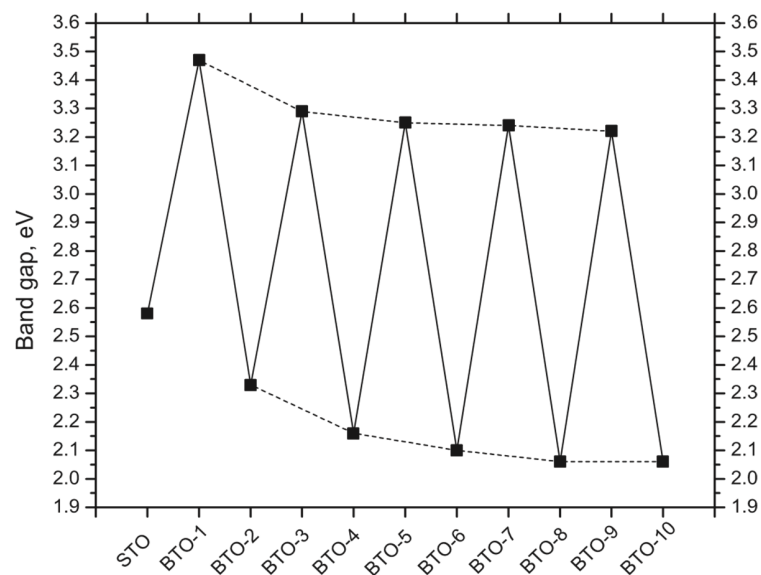
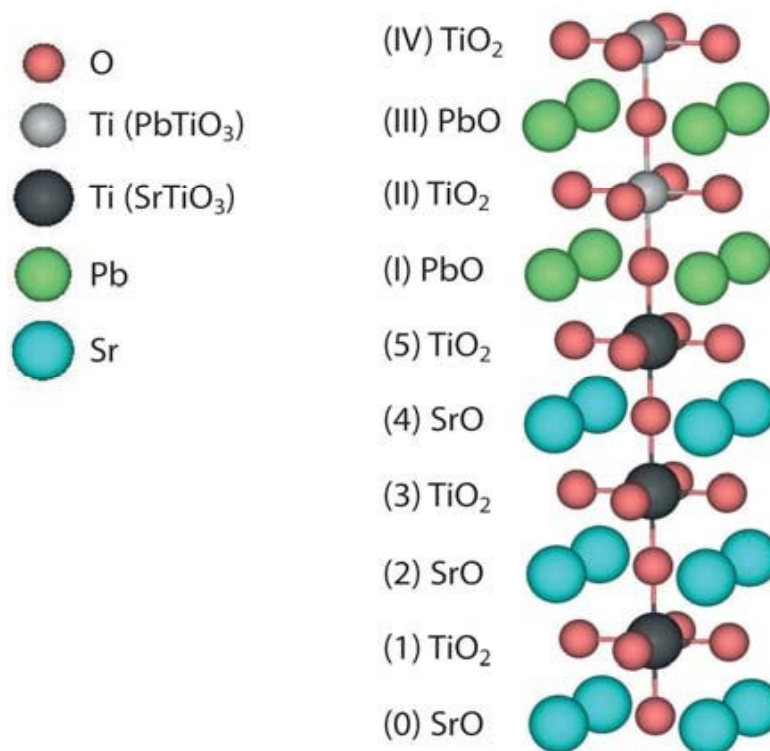
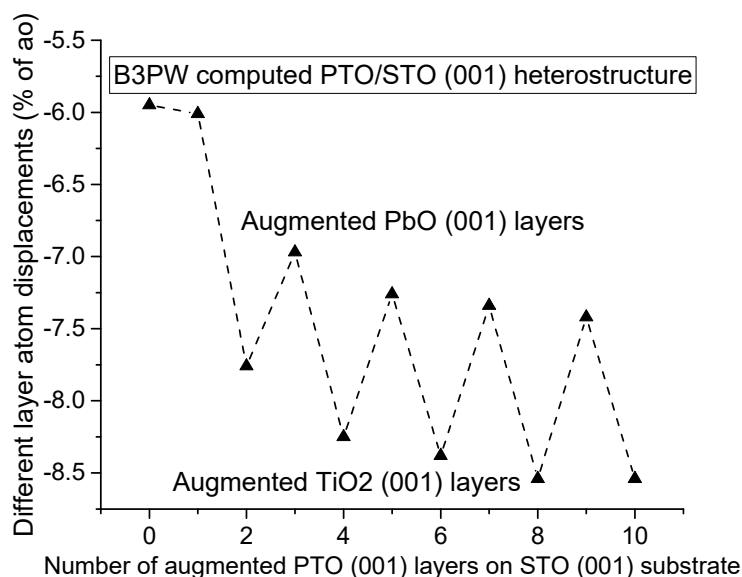


Figure 20. B3PW-simulated optical band gaps for the STO/BTO (001) interfaces. The number of augmented BTO layers on the STO (001) substrate range is ( $x = 0-10$ ) [125].



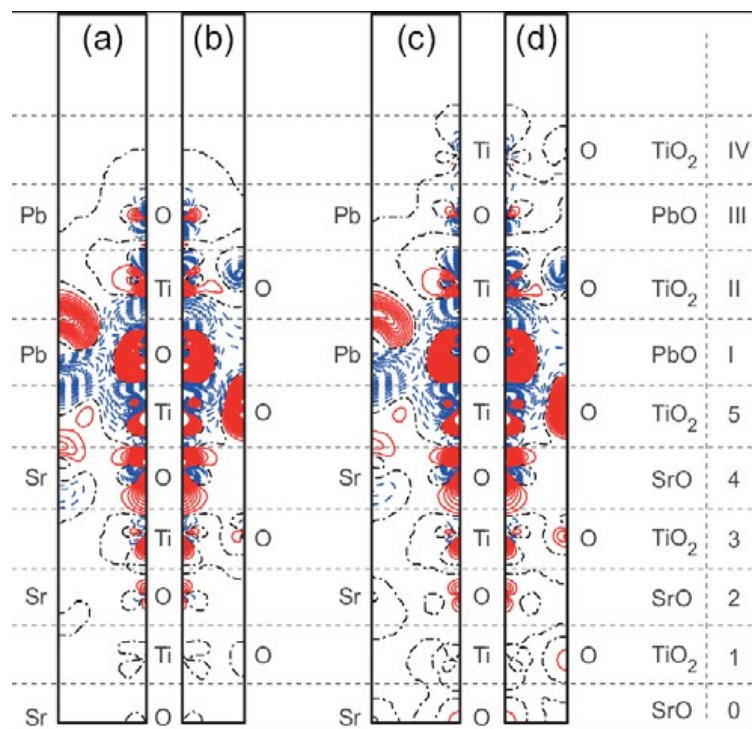
**Figure 21.** Illustration of B3PW-simulated STO/PTO (001) interface. The 11-layer STO perovskite (001) substrate is augmented, from both sides, by 10 PTO perovskite (001) layers [125].



**Figure 22.** B3PW-simulated top layer atom displacement sizes  $\Delta z$  for the 11 layer STO perovskite (001) substrate upper layer ( $x = 0$ ) as well as 10 augmented PTO (001) layers ( $x = 1-10$ ) [122–126].

Figure 22 illustrates the electronic charge reallocation along the STO/PTO (001) heterostructure with regards to the pristine STO perovskite and PTO perovskite (001) slabs [125,126]. The electronic charge density redistribution is determined as the electronic density on the STO/PTO (001) interface minus the amount of the electron densities in the STO perovskite (001) substrate as well as PTO perovskite thin film (001) slabs (Figure 22). These B3PW simulation results are depicted in Figure 23 for both three- as well as four-UC-thick STO/PTO (001) interfaces [125,126]. Figure 23 demonstrates that the key distortions

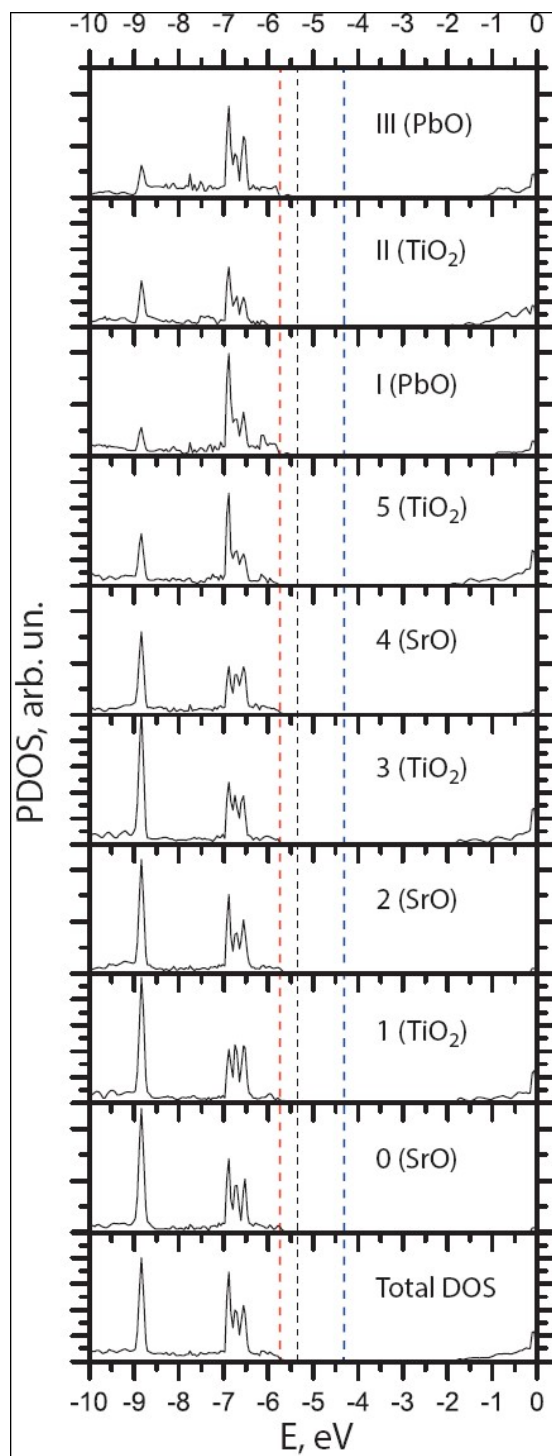
happen at the STO/PTO (001) interfaces and are triggered by the compensation of the slab surface effects [125,126].



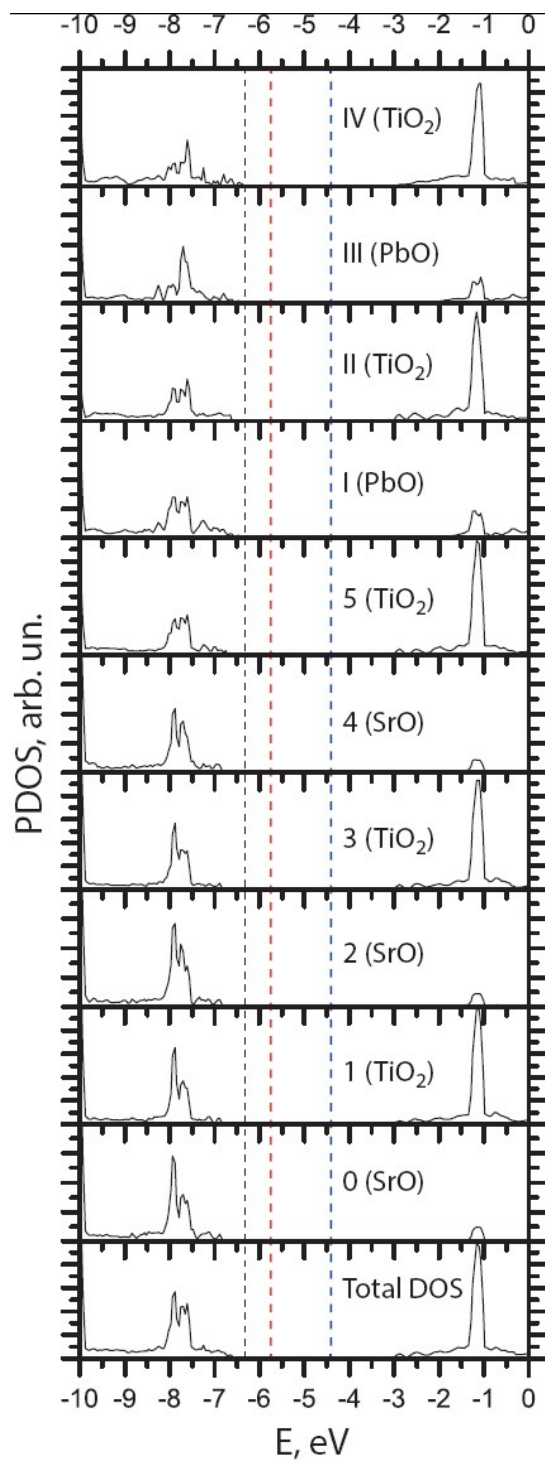
**Figure 23.** B3PW-simulated electron difference charge density maps of STO/PTO (001) heterostructures: (a) (110) cross-section of  $N_{\text{PTO}} = 3$ . (b) (100) cross-section of  $N_{\text{PTO}} = 3$ . (c) (110) cross-section of  $N_{\text{PTO}} = 4$ . (d) (100) cross-section of  $N_{\text{PTO}} = 4$ . Blue dashed, red solid as well as black dash-dot isolines describes negative, positive as well as 0 values for the difference charge density. Isodensity lines were illustrated in the interval from  $-0.025$  to  $+0.025e \text{ \AA}^{-3}$  with a small increment equal to  $0.0005e \text{ \AA}^{-3}$  [125].

B3PW-simulated DOS results, which were collected layer by layer for all orbitals of Sr, Pb as well as Ti atoms of 3 and 4 UC thick STO/PTO (001) heterostructures, are illustrated in Figures 24 and 25 [125,126]. In comparison with the ABO perovskite bulk, the VB top of the STO/PTO (001) heterostructure contains mostly O2p orbitals. On the contrary, the CB bottom of the STO/PTO (001) heterostructures mostly contains Ti3d atomic orbitals [125,126].

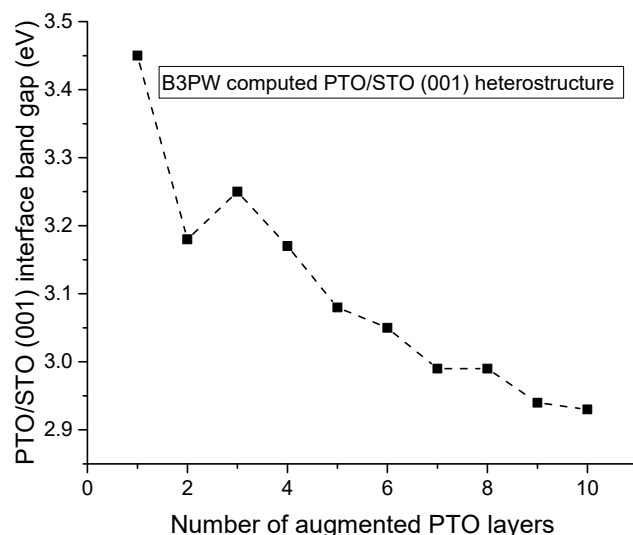
The B3PW-simulated band gap of the PbO-terminated PTO perovskite (001) thin film augmented on the 11-layer STO perovskite (001) substrate for one augmented layer ( $x = 1$ ) is equal to 3.45 eV (Figures 25 and 26) [125,126]. The PbO-terminated PTO perovskite on the STO perovskite (001) substrate augmented (001) thin film band gaps, containing three, five, seven as well as nine layers are equal to 3.25, 3.08, 2.99 and 2.94 eV (Figures 26 and 27) [125,126]. B3PW-simulated band gaps for the TiO<sub>2</sub>-terminated PTO perovskite (001) thin films, augmented on the STO perovskite 11-layer (001) substrate, for two, four, six, eight and 10 augmented layers are equal to 3.18, 3.17, 3.05, 2.99 and 2.93 eV (Figures 26 and 27) [125,126].



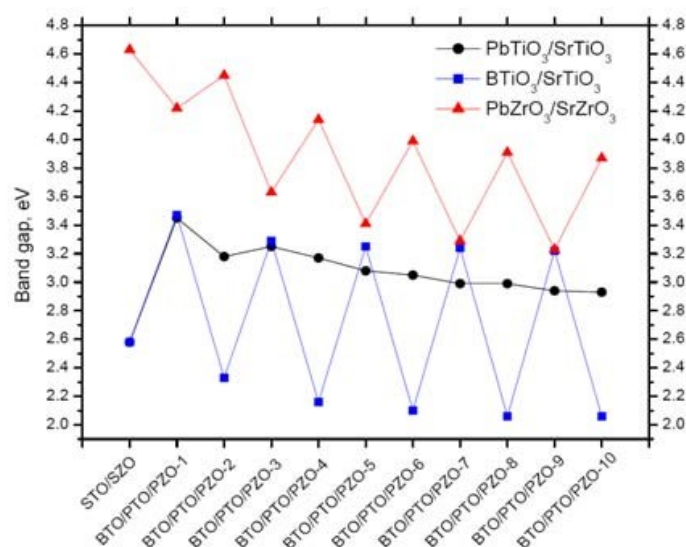
**Figure 24.** B3PW-simulated layer-by-layer projected DOS for the 3-UC-thick STO/PTO (001) heterostructure [125].



**Figure 25.** B3PW-simulated layer-by-layer projected DOS for the 4-UC-thick STO/PTO (001) heterostructure [125].



**Figure 26.** B3PW-simulated band gaps (in eV) for the STO/PTO (001) interfaces. Number of augmented PTO perovskite (001) layers changes in the range ( $x = 1-10$ ) [125].



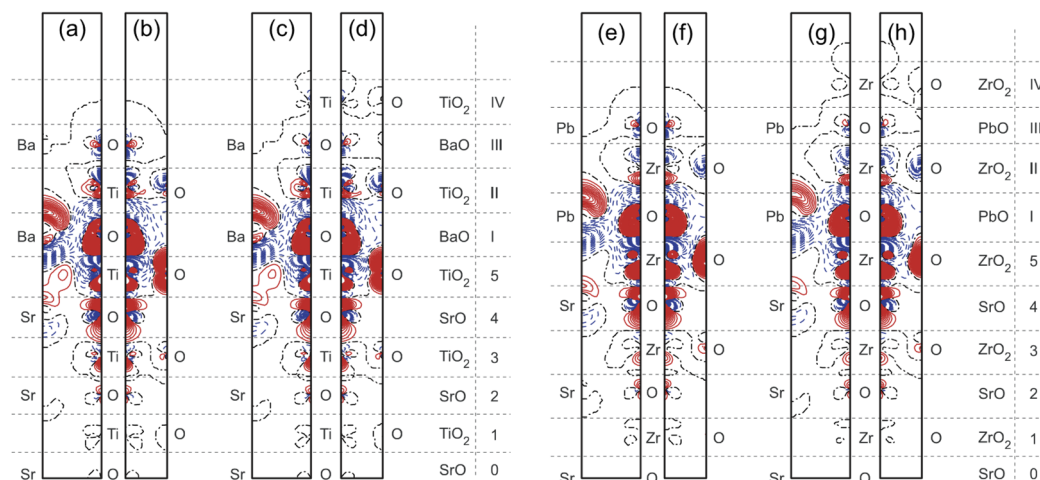
**Figure 27.** B3PW-simulated band gaps (in eV) for the STO/PTO (001) heterostructures as a function of augmented PTO (001) layers ( $x = 1-10$ ). B3PW-simulated band gaps for STO/BTO as well as SZO/PZO (001) interfaces are discussed for comparison [125].

### 3.5. B3PW-Simulated SZO/PZO (001) Heterostructures

The B3PW simulations for the SZO/PZO (001) interfaces, using the same model as for the related STO/BTO as well as STO/PTO (001) heterostructures [122–126] were performed. In B3PW simulations, the SZO perovskite (001) substrate also contained 11 layers. The SZO (001) substrate was terminated from both sides with ZrO<sub>2</sub> layers [122–126]. Next, symmetrically to both sides of the SZO (001) substrate, were attached a pair of PZO (001) layers [123,125,126]. At the final stage, the deposited PZO (001) thin film was extended to 10 layers on both sides of the SZO (001) substrate [123,125,126].

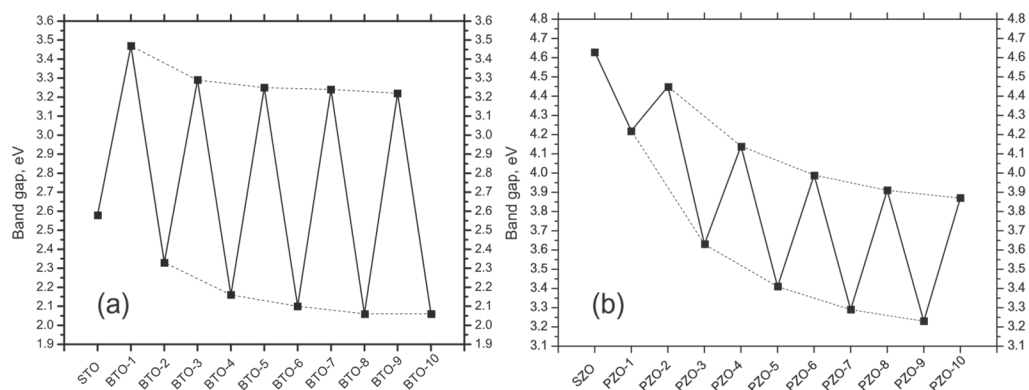
As a following step, with the aim to determine the degree of the electronic charge density redistribution, the performance of the electronic charge density near the (001) heterostructures (Figure 28) [123,125,126] was inspected. B3PW simulation results were matched up to the isolated BTO, PZO, STO as well as SZO (001) slabs (Figure 28). According to the difference in electron charge density plots, illustrated in Figure 28, the main electron distortion happens at the (001) interface [123,125,126]. These distortions happen

as a result of the compensation for the B3PW-simulated surface effects of the (001) slabs (Figure 28) [123,125,126].



**Figure 28.** B3PW-computed difference in electron charge density plots simulated for the STO/PTO as well as SZO/PZO (001) heterostructures. (a) (110) cross-section for  $N_{\text{BTO}} = 3$ . (b) (100) cross-section for  $N_{\text{BTO}} = 3$ . (c) (110) cross-section for  $N_{\text{BTO}} = 4$ . (d) (100) cross-section for  $N_{\text{BTO}} = 4$ . (e) (110) cross-section for  $N_{\text{PZO}} = 3$ . (f) (100) cross-section for  $N_{\text{PZO}} = 3$ . (g) (110) cross-section for  $N_{\text{PZO}} = 4$ . (h) (100) cross-section for  $N_{\text{PZO}} = 4$ . Blue dashed, red solid as well as black dash–dot isolines defines negative, positive as well as 0 values for the difference charge density. Isodensity curves are illustrated from  $-0.025$  to  $+0.025e \text{ \AA}^{-3}$  with an increment of  $0.0005e \text{ \AA}^{-3}$  [125].

The DOS-projected layer by layer upon all orbitals of the Sr, Ba, Pb, Ti, O as well as Zr atoms of the STO/BTO and SZO/PZO (001) interfaces [123,125,126] was meticulously inspected. Just as for the ABO perovskite bulk, the VB top was comprised of O  $2p$  orbitals. On the contrary, the CB band bottom was composed mostly of Ti  $3d$  and Zr  $4d$  orbitals [123,125,126]. Additionally, Ti–O as well as Zr–O hybridization are pronounced clearly. For the BaO-terminated STO/BTO as well as ZrO<sub>2</sub>-terminated SZO/PZO (001) interfaces, a surplus of electron density relocations was gained, due to an increase in the number of occupied levels, generating a rise of the enlarged band gap (Figure 29) [123,125,126]. On the other hand, the PbO- as well as TiO<sub>2</sub>-terminated (001) interfaces underwent a shortage of electron density (Figure 29). This displaced the number of filled levels downwards. The band gaps of the SZO/PZO as well as STO/BTO (001) heterostructures thereby were reduced (Figure 29).



**Figure 29.** B3PW-computed band gaps (in eV) for the (a) STO/BTO as well as (b) SZO/PZO (001) interfaces. The deposited BTO or PZO layer number varies in the range ( $x = 1-10$ ) [125].

### 3.6. B3PW Simulations of Oxygen Vacancies in ABO Perovskite Bulk and on Their (001) Surfaces

B3PW-simulation results, dealing with the atomic displacement magnitudes nearby the bulk as well as (001) surface oxygen vacancies in the STO, BTO, PTO and SZO perovskites are collected in Table 9 [64,107,135,170,181–185]. According to B3PW simulations all B (Ti or Zr) atoms always are repelled from the bulk as well as (001) surface oxygen vacancies in all four ABO perovskites (Table 9). The repulsion magnitudes of the B atoms on the STO, PTO and SZO (001) surfaces (+14.0, +9.98, +9.17% of  $a_0$ ) are significantly larger than the respective bulk B atom displacement magnitudes (+7.76, +1.63, +3.68% of  $a_0$ ) (Table 9). The single exception from this systematic trend is very small repulsion of the BTO perovskite Ti atom (+0.1% of  $a_0$ ) from the oxygen vacancy on the BTO perovskite (001) surface in comparison with the bulk (+1.06% of  $a_0$ ) (Table 9) [64,107,135,170,181–185].

**Table 9.** B3PW-simulated the three nearest-neighbor atom displacement magnitudes near the bulk as well as the (001) surface oxygen vacancy (% of  $a_0$ ) in ABO perovskites [64,107,135,170,181–185].

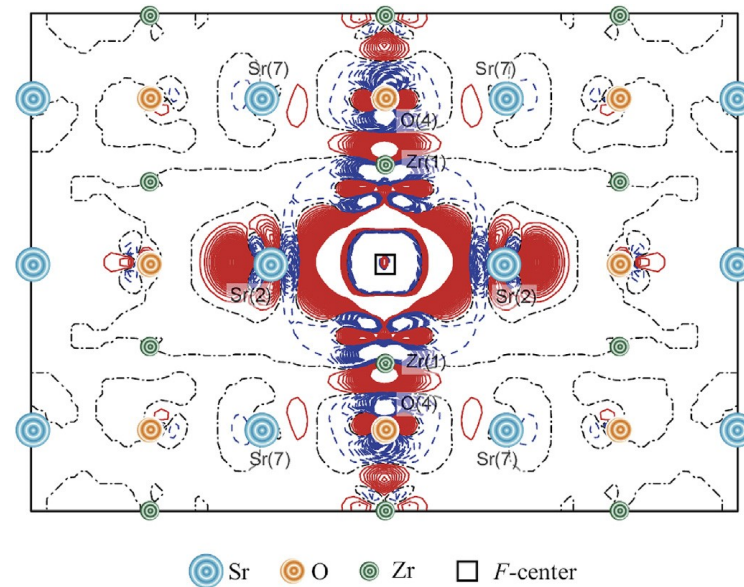
Simulated Properties	STO	BTO	PTO	SZO
Functional	B3PW	B3PW	B3PW	B3PW
ABO lattice constant (Å)	3.904	4.008	3.936	4.163
Bulk <i>F</i> -center in ABO perovskites				
B atom displac. magnitude	+7.76	+1.06	+1.63	+3.68
O atom displac. magnitude	−7.79	−0.71	−0.88	−2.63
A atom displac. magnitude	+3.94	−0.08	−2.58	+0.46
<i>F</i> -center on ABO perovskite (001) surface				
B atom displac. magnitude	+14.0	+0.1	+9.98	+9.17
O atom displac. magnitude	−8.0	−1.4	−5.58	−4.16
A atom displac. magnitude	-	+1.0	-	+7.68

The second-closest to the *F*-center oxygen atoms, both in the ABO perovskite bulk as well as on their (001) surfaces, in all cases, are moved closer to the oxygen vacancy (Table 9). Numerically, the second-closest to the *F*-center oxygen atoms, in the STO, BTO, PTO, and SZO perovskites are shifted towards the bulk oxygen vacancy by (−7.79, −0.71, −0.88, −2.63% of  $a_0$ ) (Table 9) [64,107,135,170,181–185]. According to performed B3PW simulations, the second-nearest-neighbor O atoms on the STO, BTO, PTO, and SZO perovskite (001) surfaces are shifted towards the (001) surface's *F*-center (−8.0, −1.4, −5.58, −4.16% of  $a_0$ , respectively) even more strongly than in the bulk case (Table 9).

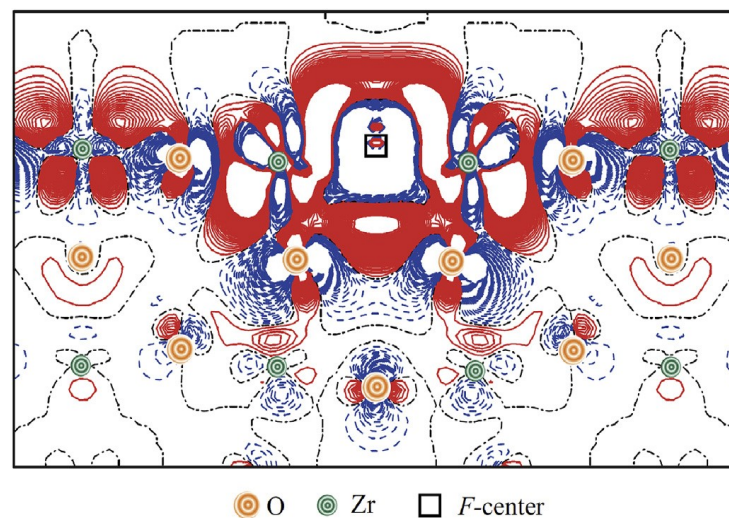
The neutral oxygen atom willingly creates an ion with a charge equal to  $-2e$  (Table 10). In B3PW simulations, the O atom charges in the perfect STO, BTO, PTO, and SZO perovskites are substantially smaller than their ionic charges ( $-2e$ ). They are equal to (−1.407 $e$ ; −1.388 $e$ ; −1.232 $e$ ; −1.351 $e$ ), respectively (Table 10). Interior the oxygen vacancies in the STO, BTO, PTO, and SZO perovskite bulk (−1.10 $e$ ; −1.103 $e$ ; −0.85 $e$ ; −1.25 $e$ ) are confined to a smaller amount of the electron charge (Table 10 and Figure 30). It is worth noticing that, inside the BTO and SZO perovskite (001) surface oxygen vacancies (−1.052 $e$  and −1.10 $e$ ), are localized even less electron charge than inside the related bulk oxygen vacancies (Table 10 and Figure 31). B3PW-simulated difference in electron charge density maps for the oxygen vacancy in the SZO perovskite bulk and on its (001) surface are illustrated in Figures 30 and 31.

B3PW-simulated formation energies of the bulk oxygen vacancies in the STO, BTO, PTO, and SZO perovskites are equal to 7.10, 10.30, 7.82, and 7.55 eV (Table 10 and Figure 32). The oxygen vacancy formation energies on the STO, BTO, PTO, and SZO perovskite (001)

surfaces (6.22, 10.20, 5.99, and 7.52 eV, respectively) are even smaller than in their bulk (Table 10 and Figure 32).



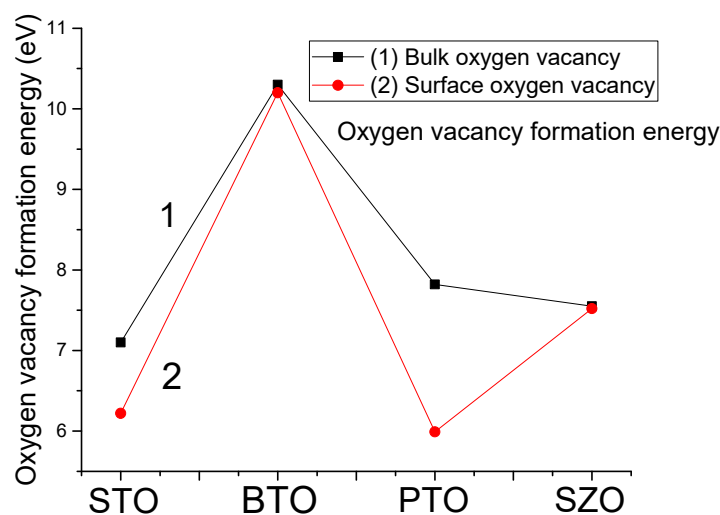
**Figure 30.** B3PW-simulated (110) cross-section of the difference in electron charge density maps  $\Delta\rho(\mathbf{r})$  for the oxygen vacancy in the SZO perovskite bulk [64,107,135,170,181–185]. Dash-dot isolines represent the 0 level. Dashed isolines represent the decrease in the  $\Delta\rho(\mathbf{r})$ , whereas the solid lines represent its increase [170].



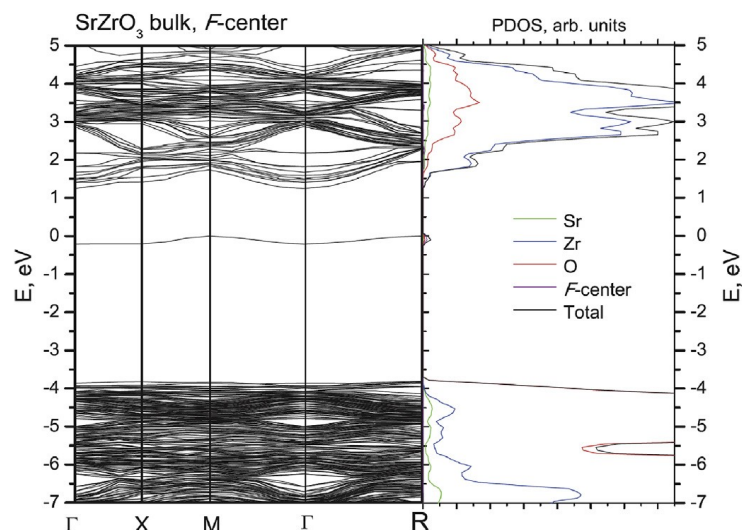
**Figure 31.** B3PW-simulated difference in electron charge density map for the  $\text{ZrO}_2$ -terminated SZO perovskite (001) surface, which contains the oxygen vacancy, in the cross-section alongside the (010) plane [64,107,135,170,181–185]. Dashed lines indicate the shortage of electron density. The full lines indicate the excess of electron density. The increment magnitude in B3PW simulations is equal to  $0.05e \text{ a.u.}^3$  [170].

**Table 10.** B3PW-simulated bulk and (001) surface oxygen vacancy atomic and electronic structure in STO, BTO, PTO, and SZO perovskites [64,107,135,170,181–185].

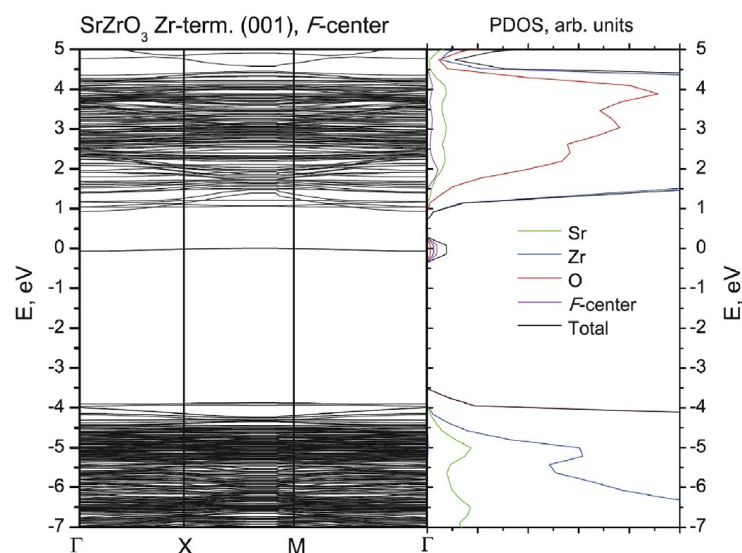
Simulated Properties	STO	BTO	PTO	SZO
Functional	B3PW	B3PW	B3PW	B3PW
Oxygen vacancy in the STO, BTO, PTO, and SZO perovskite bulk				
O atom ionic charge ( $e$ )	−2.0	−2.0	−2.0	−2.0
O charge in ABO matrix ( $e$ )	−1.407	−1.388	−1.232	−1.351
Oxygen vacancy charge ( $e$ )	−1.10	−1.103	−0.85	−1.25
O vac. format. energy (eV)	7.10	10.30	7.82	7.55
Band gap with $F$ -cent. (eV)	3.63	3.58	2.87	5.07
$F$ -center under CB (eV)	0.69	0.23	0.96	1.12
Oxygen vacancy on the STO, BTO, PTO, and SZO (001) surface				
Oxygen vacancy charge ( $e$ )	-	−1.052	-	−1.10
O vac. format. energy (eV)	6.22	10.20	5.99	7.52
$F$ -center under CB (eV)	0.25	0.07	0.71	0.93

**Figure 32.** B3PW-simulated bulk (1) and surface (2) oxygen vacancy formation energies (eV) in the STO, BTO, PTO, and SZO perovskites [170].

Our B3PW-simulated bulk band gap breadth at the  $\Gamma$ -point, for the STO, BTO, PTO, and SZO perovskites, containing the oxygen vacancy, are equal to 3.63, 3.58, 2.87, 5.07 eV, respectively (Table 10 and Figure 33). The bulk oxygen vacancy induces the defect levels at the STO, BTO, PTO, and SZO perovskite band gaps (Table 10 and Figures 33 and 34). At the  $\Gamma$ -point, these defect levels for the STO, BTO, PTO, and SZO perovskites bulk are located 0.69, 0.23, 0.96, and 1.12 eV below the conduction band (CB) bottom (Table 10 and Figures 33 and 34). The (001) surface oxygen vacancy-induced defect levels, on the STO, BTO, PTO, and SZO perovskite (001) surfaces, at the  $\Gamma$ -point, are located even closer to the CB bottom (0.25, 0.07, 0.71, and 0.93 eV, respectively) (Table 10 and Figures 33 and 34).



**Figure 33.** B3PW-simulated total as well as projected DOS, and band structure for the SZO perovskite bulk containing the single oxygen vacancy [170].



**Figure 34.** B3PW-simulated total as well as projected DOS, and band structure for the ZrO<sub>2</sub>-terminated SZO perovskite (001) surface containing the single oxygen vacancy [170].

#### 4. Conclusions

We discuss the performed B3LYP and B3PW simulations dealing with ABO perovskite (001) surfaces, interfaces as well as oxygen vacancy defects therein, during the last quarter of century worldwide [1–3,45,46,53,63,64,74,78,79,104,106,109,171], specifically B3PW and B3LYP simulations for the BO<sub>2</sub>- as well as AO-terminated (001) surfaces of STO, BTO, PTO, CTO, SZO, BZO, PZO, and CZO perovskites [1–3,45,46,53,63,64,74,78,79,104,106,109,171]. According to carried out B3PW and B3LYP-simulations, almost all upper-layer atoms on the BO<sub>2</sub>- and AO-terminated ABO (001) surfaces shift inwards. The only two deviations from this systematic tendency are the upward shifts of O atoms on the TiO<sub>2</sub>-terminated PTO perovskite (001) surface by (+0.31% of  $a_0$ ), and also on the SrO-terminated STO (001) surface by an even larger displacement magnitude equal to (+0.84% of  $a_0$ ) (Table 4). On the other hand, almost all ABO perovskite second-layer atoms relax upwards (Table 4). The single exception to this systematic trend is the O atom's inward relaxation on the SrO-terminated SZO (001) surface by a very small relaxation magnitude of (−0.05% of  $a_0$ ) (Table 4). Finally, practically all third-layer atoms, once more, relax inwards (Table 4).

It is worth noting that it is possible to compare directly ab initio computed relaxed ABO perovskite (001) surface structures with available experimental data. For example, the B3PW-simulated SrO-terminated STO perovskite (001) surface rumpling  $s$  by Eglitis and Vanderbilt is equal to 5.66 percent of the bulk lattice constant [2]. It is in fair agreement with the LDA calculation result by Padilla and Vanderbilt (5.8% of  $a_0$ ) [186], and the LDA result obtained by Lee et al., (7.7% of  $a_0$ ) using the CASTEP computer code [101]. Also, Heifets et al. [50] got a rather similar result (8.2% of  $a_0$ ) using a completely different classical shell model method. On the experimental side, the LEED and RHEED experiments performed by Bickel et al., ( $4.1 \pm 2\%$  of  $a_0$ ) [187] and Hikita et al., (4.1% of  $a_0$ ) [188] yielded slightly lower, but comparable results with theoretical ab initio calculations [2,50,101,186].

Another experimentally measurable parameter, which allow us to check the accuracy of ab initio calculations, is the interlayer distance  $\Delta d_{12}$  between the ABO perovskite (001) surface's first and second layer [187,188]. Nevertheless, it is important to note that LEED [187] ( $-5 \pm 1$ ) and RHEED [188] (2.6) experiments contradict each other. Namely, the LEED experiments performed by Bickel et al. [187] favor the contraction between the first and second layer of SrO-terminated STO (001) surface ( $-5 \pm 1$ ), whereas the RHEED experiments carried out by Hikita et al. [188] show expansion (2.6). In this case, ab initio calculations performed by different scientific groups worldwide, dealing with atomic relaxation of SrO-terminated STO (001) surfaces helped to resolve this contradiction between LEED [187] and RHEED [188] experiments. That is to say, the interlayer distance  $\Delta d_{12}$  simulated by Eglitis and Vanderbilt ( $-6.58$ ) [2], by Padilla and Vanderbilt ( $-6.9$ ) [186], Lee et al., ( $-8.6$ ) [101] as well as by Heifets et al., ( $-8.6$ ) [50] are in good agreement with each other as well as with LEED experiments ( $-5 \pm 1$ ) [187].

The B3LYP- and B3PW-simulated ABO perovskite (001) surface energies, using the CRYSTAL computer code, for both  $\text{BO}_2$  and AO terminations, are comparable (Table 5). It is worth noting that, by means of a quite different semi-empirical shell model (SM), computed (001) surface energies by Heifets et al. [50] for BTO and STO perovskites are also comparable. Namely, according to classical SM simulations [50], the Ba-terminated BTO (001) surface energy (1.45 eV) is only very slightly larger than the Ti-terminated surface (1.40 eV). Also, for STO perovskite by means of SM [50], the Ti-terminated (001) surface energy of 1.37 eV is slightly larger than for Sr termination (1.33 eV).

Nevertheless, the largest B3LYP-simulated surface energy is for the  $\text{ZrO}_2$ -terminated CZO (001) surface (1.33 eV) [171]. In contrast, the smallest B3PW-simulated surface energy is for the  $\text{TiO}_2$ -terminated PTO (001) surface (0.74 eV) [1]. B3LYP and B3PW simulations in the ABO perovskites indicate a significant rise of the B-O chemical bond covalency nearby the  $\text{BO}_2$ -terminated (001) surfaces in comparison to their bulk [1-3,45,46,53,63,64,74,78,79,104,106,109,171]. Namely, for the STO, BTO, PTO, CTO, SZO, BZO, PZO, and SZO perovskites, the bulk B-O chemical bond covalency ( $+0.088e$ ,  $+0.098e$ ,  $+0.098e$ ,  $+0.084e$ ,  $+0.092e$ ,  $+0.108e$ ,  $+0.106e$ ,  $+0.086e$ , respectively) soar near the  $\text{BO}_2$ -terminated (001) surface ( $0.118e$ ,  $0.126e$ ,  $0.114e$ ,  $0.114e$ ,  $0.114e$ ,  $0.132e$ ,  $0.116e$ ,  $0.102e$ , respectively). B3LYP- and B3PW-simulated ABO perovskite bulk  $\Gamma$ - $\Gamma$  band gaps are decreased near their  $\text{BO}_2$ - and AO-terminated (001) surfaces.

We reviewed worldwide available B3PW simulations for the STO/BTO, STO/PTO, and SZO/PZO (001) interfaces. In B3PW simulations, the non-stoichiometric (001) heterostructures were considered. It is demonstrated that charge rearrangement in the region of the (001) interface unsubstantially influences the electronic structure. At the same time, the modification in the stoichiometry creates an important shift in the band edges. B3PW-simulated optical band gaps of the STO/BTO, STO/PTO, and SZO/PZO (001) interfaces mainly depend on the  $\text{BO}_2$  or AO terminations of the upper layer of the augmented film.

The strengthening of the B-O chemical bond covalency near the STO/BTO, STO/PTO and SZO/PZO (001) interfaces in comparison to the BTO, PTO and SZO bulk were forecasted.

The displacement magnitudes of the nearest-neighbor atoms, around the (001) surface oxygen vacancy, in the ABO perovskites, usually, are larger than in their bulk. In the STO, BTO, PTO, and SZO perovskites, the electronic charge, ordinarily, is a lot better localized interior the bulk than the (001) surface oxygen vacancy. In the STO, BTO, PTO, and SZO perovskites, the (001) surface oxygen vacancy-induced defect levels are located closer to the conduction band bottom than in the bulk cases. Computed formation energy difference between the bulk and the (001) surface oxygen vacancies in the STO, BTO, PTO, and SZO perovskites triggers the oxygen vacancy segregation from the bulk towards the (001) surface.

**Author Contributions:** Conceptualization, R.J., J.P., A.I.P. and S.P.K.; methodology, R.I.E.; software, R.I.E.; validation, R.I.E., R.J., J.P., A.I.P. and S.P.K.; formal analysis, R.I.E., J.P., A.I.P., S.P.K. and R.J.; investigation, R.I.E., and R.J.; resources, S.P.K.; data curation, S.P.K. and R.J.; writing—original draft preparation, R.I.E., J.P., A.I.P., S.P.K. and R.J.; writing—review and editing, R.I.E., J.P., A.I.P., R.J. and S.P.K.; visualization, S.P.K., R.J. and R.I.E.; funding acquisition, R.I.E.; J.P., A.I.P., S.P.K. and R.J. All authors have read and agreed to the published version of the manuscript.

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**Conflicts of Interest:** The authors declare no conflicts of interest.

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